

# Chapter II

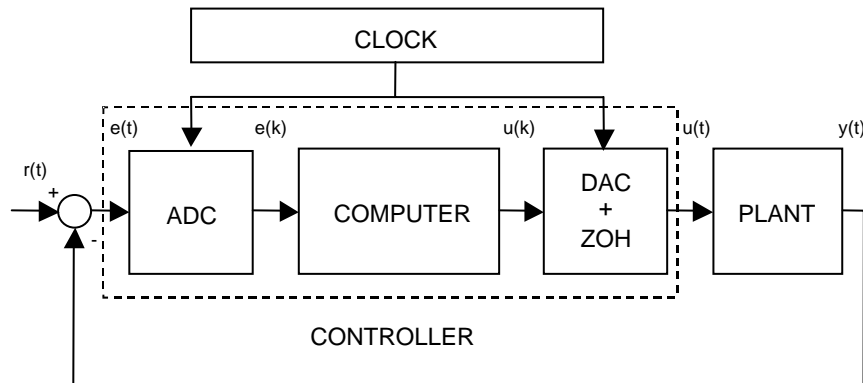
## Computer Control Systems

# Chapter 2. Computer Control Systems

- 2.1 Introduction to Computer Control
- 2.2 Discretization and Overview of Sampled-data Systems
  - 2.2.1 Discretization and Choice of Sampling Frequency
  - 2.2.2 Choice of the Sampling Frequency for Control Systems
- 2.3 Discrete-time Models
  - 2.3.1 Time Domain
  - 2.3.2 Frequency Domain
  - 2.3.3 General Forms of Linear Discrete-time Models
  - 2.3.4 Stability of Discrete-time Systems
  - 2.3.5 Steady-state Gain
  - 2.3.6 Models for Sampled-data Systems with Hold
  - 2.3.7 Analysis of First-order Systems with Time Delay
  - 2.3.8 Analysis of Second-order Systems
- 2.4 Closed Loop Discrete-time Systems
  - 2.4.1 Closed Loop System Transfer Function
  - 2.4.2 Steady-state Error
  - 2.4.3 Rejection of Disturbances
- 2.5 Basic Principles of Modern Methods for Design of Digital Controllers
  - 2.5.1 Structure of Digital Controllers
  - 2.5.2 Digital Controller Canonical Structure
  - 2.5.3 Control System with PI Digital Controller
- 2.6 Analysis of the Closed Loop Sampled-Data Systems in the Frequency Domain
  - 2.6.1 Closed Loop Systems Stability
  - 2.6.2 Closed Loop System Robustness
- 2.7 Concluding Remarks
- 2.8 Notes and References

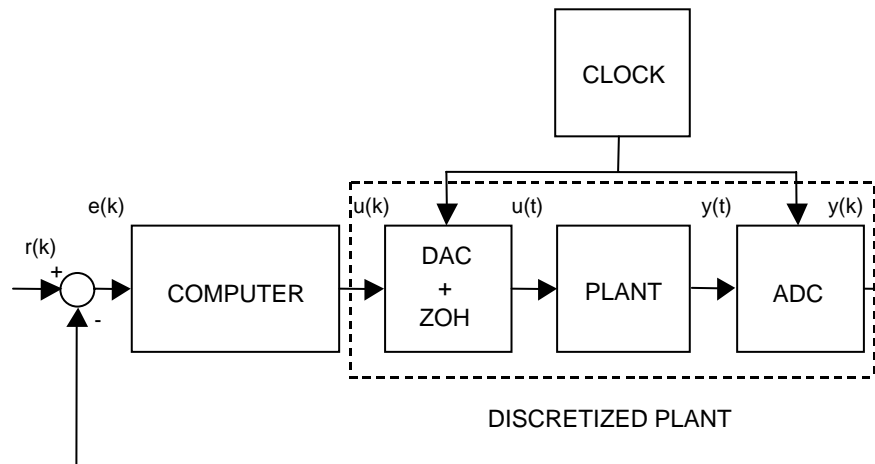
# Computer control system

## Digital implementation of an analog controller



- Continuous time emulation by fast sampling
- Strong constraint on computer resources

## Digital control system



- Sampling time depends on the system bandwidth
- Efficient use of computer resources

# Choice of the sampling period for control purposes

$f_{BW}^{CL}$  : closed loop bandwidth

$$f_s = (6 \text{ up to } 25) f_{BW}^{CL}$$

1<sup>st</sup> order :  $H(s) = \frac{1}{1 + sT_0}$        $f_{BW} = f_0 = \frac{1}{2\pi T_0}$

$T_s = 1/f_s$  : sampling period

$$\frac{T_0}{4} < T_s < T_0$$

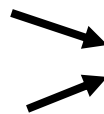
2<sup>nd</sup> order :  $H(s) = \frac{\omega_0^2}{\omega_0^2 + 2\zeta \omega_0 s + s^2}$

$$\zeta = 0.7 \Rightarrow f_{BW} = \frac{\omega_0}{2\pi}$$

$$\zeta = 1 \Rightarrow f_{BW} = \frac{0.6 \omega_0}{2\pi}$$

$$0.25 \leq \omega_0 T_s \leq 1; \zeta = 0.7$$

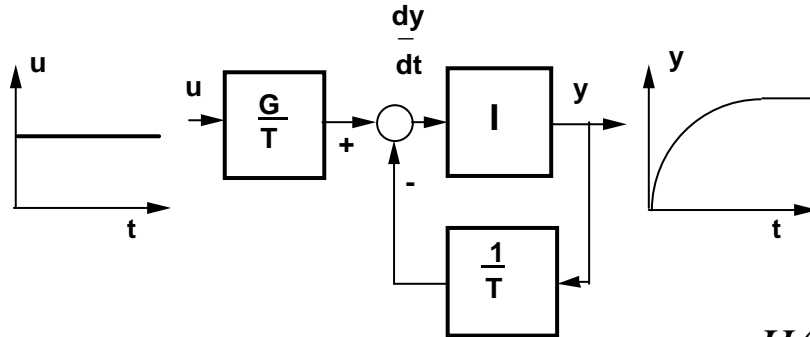
$$0.4 \leq \omega_0 T_s \leq 1.75; \zeta = 1$$



$$0.25 \leq \omega_0 T_s \leq 1.5; 0.7 \leq \zeta \leq 1$$

# Discrete-time models

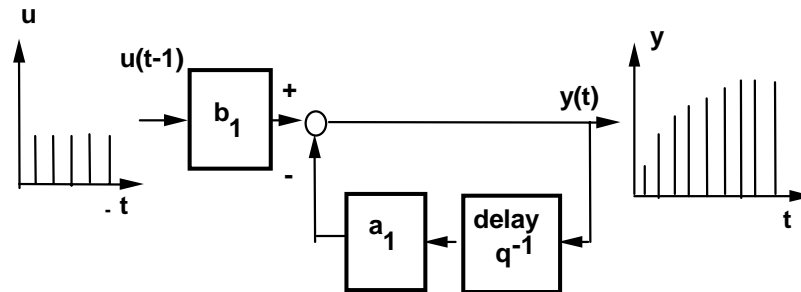
*Remind* : Continuous-time model



$$\frac{dy}{dt} = -\frac{1}{T} y(t) + \frac{G}{T} u(t)$$

$$H(s) = \frac{G}{1 + sT}$$

Discrete-time model



$t$  = normalized discrete time ( $t/T_s$ )

$$y(t) = -a_1 y(t-1) + b_1 u(t-1)$$

$$H(z^{-1}) = \frac{b_1 z^{-1}}{1 + a_1 z^{-1}}$$

# Discrete-time models: *Time domain*

$t$  = normalized discrete time ( $t/T_s$ )

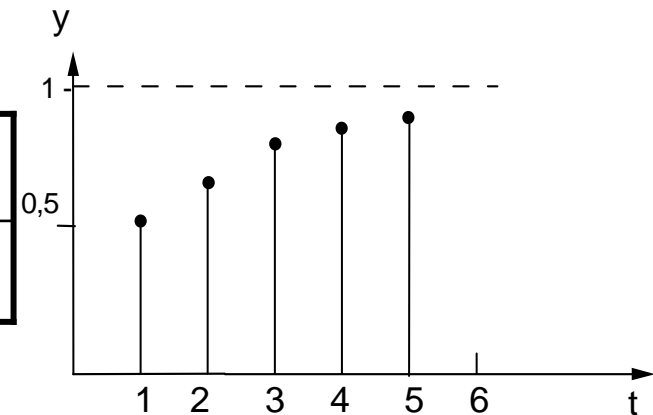
$t = 1, 2, 3, 4, \dots$

$$y(t) = -a_1 y(t-1) + b_1 u(t-1)$$

$$u(t) = \begin{cases} 0 & t < 0 \\ 1 & t \geq 0 \end{cases} \quad y(0) = 0$$

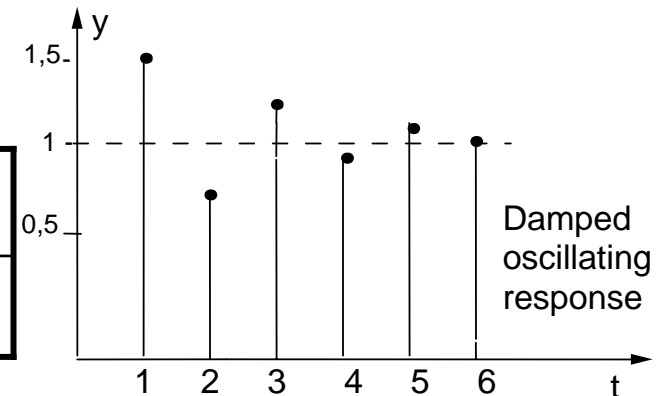
**Case 1 :  $a_1 = -0.5$     $b_1 = 0.5$**

$t$	0	1	2	3	4	5
$y(t)$	0	0.5	0.75	0.875	0.937	0.969



**Case 2 :  $a_1 = 0.5$     $b_1 = 1.5$**

$t$	0	1	2	3	4	5
$y(t)$	0	1.5	0.75	1.125	0.937	1.062



## Backward shift operator ( $q^{-1}$ )

$$q^{-1}y(t) = y(t-1); \quad q^{-d}y(t) = y(t-d)$$

$$y(t) = -a_1y(t-1) + b_1u(t-1) \quad \longrightarrow \quad (1 + a_1q^{-1})y(t) = b_1q^{-1}u(t)$$

## Pulse transfer operator

$$(1 + a_1q^{-1})y(t) = b_1q^{-1}u(t)$$



$$y(t) = \frac{b_1q^{-1}}{1 + a_1q^{-1}}u(t) = H(q^{-1})u(t)$$

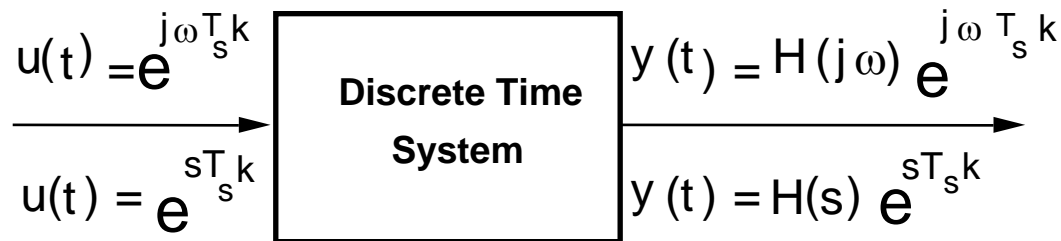
$H(q^{-1})$  : Pulse transfer operator

# Discrete time model : *Frequency domain*

Exponential complex function (continue time)  $\left\{ \begin{array}{l} e^{j\omega t} = \cos \omega t + j \sin \omega t \\ e^{st} \quad (s = \sigma + j\omega) \end{array} \right.$

Exponential complex function (discrete time,  $T_s$ : sampling period)

$$t = kT_s \quad \boxed{e^{j\omega T_s k} ; e^{sT_s k}} \quad k = 1, 2, 3, ..$$



$$y(t) = H(s)e^{sT_s k} \qquad y(t-1) = H(s)e^{sT_s(k-1)} = e^{-sT_s} H(s)e^{sT_s k} = e^{-sT_s} y(t)$$



# Discrete time model : *Frequency domain*

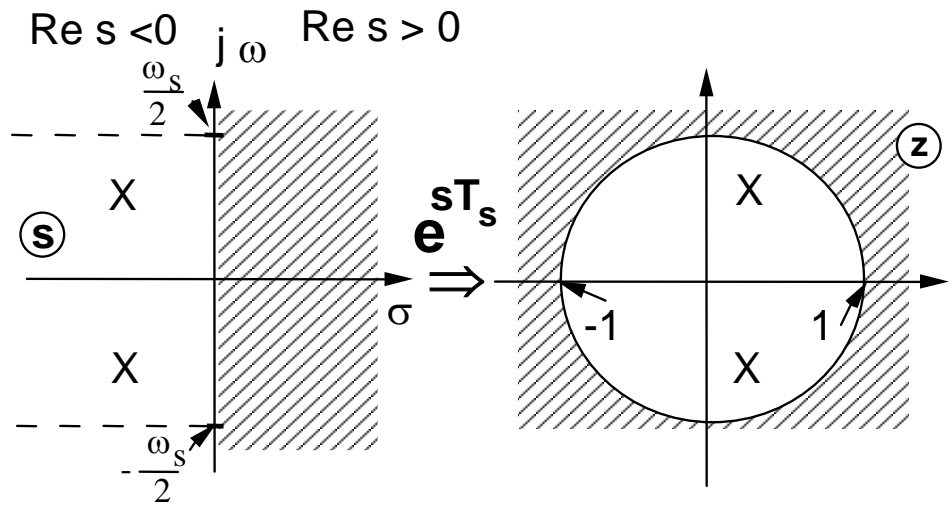
Exemple:

$$y(t) = -a_1 y(t - 1) + b_1 u(t - 1)$$

$$u(t) = e^{sT_e k}$$

$$(1 + a_1 e^{-sT_s}) H(s) e^{sT_s k} = b_1 e^{-sT_s} e^{sT_s k} \quad \rightarrow \quad H(s) = \frac{b_1 e^{-sT_s}}{1 + a_1 e^{-sT_s}}$$

$$z = e^{sT_s}$$



Transfer function:

$$H(z^{-1}) = \frac{b_1 z^{-1}}{1 + a_1 z^{-1}}$$

## Discrete time model – *General form*

$$(*) \quad y(t) = - \sum_{i=1}^{n_A} a_i y(t-i) + \sum_{i=1}^{n_B} b_i u(t-d-i)$$

**d –delay (integer multiple of the sampling period)**

$$1 + \sum_{i=1}^{n_A} a_i q^{-i} = A(q^{-1}) = 1 + q^{-1} A^*(q^{-1}) ; \quad A^*(q^{-1}) = a_1 + a_2 q^{-1} + \dots + a_{n_A} q^{-n_A+1}$$

$$\sum_{i=1}^{n_B} b_i q^{-i} = B(q^{-1}) = q^{-1} B^*(q^{-1}) \quad ; \quad B^*(q^{-1}) = b_1 + b_2 q^{-1} + \dots + b_{n_B} q^{-n_B+1}$$

$$(*) \quad A(q^{-1}) y(t) = q^{-d} B(q^{-1}) u(t)$$

$$(*) \quad A(q^{-1}) y(t+d) = B(q^{-1}) u(t) \quad (\text{Predictive form})$$

$$(*) \quad y(t) = H(q^{-1}) u(t); \quad H(q^{-1}) = \frac{q^{-d} B(q^{-1})}{A(q^{-1})} \quad \text{- pulse transfer operator}$$

$$q^{-1} \rightarrow z^{-1} \quad H(z^{-1}) = \frac{q^{-z} B(z^{-1})}{A(z^{-1})} \quad \text{- transfer function}$$

# Stability of discrete time models

Time domain

$$y(t) = -a_1 y(t-1); \quad y(0) = y_0$$

$$y(1) = -a_1 y_0; \quad y(2) = (-a_1)^2 y_0; \quad y(t) = (-a_1)^t y_0$$

$$\lim_{t \rightarrow \infty} y(t) = 0 \quad \Rightarrow \quad |a_1| < 1$$

Pulse transfer operator (function)

$$(1 + a_1 q^{-1}) y(t) = 0 \quad \begin{array}{l} 1 + a_1 q^{-1} \text{ Is the denominator of the pulse transfer operator} \\ 1 + a_1 z^{-1} \text{ Is the denominator of the transfer function} \end{array}$$

$$\text{Stability condition:} \quad 1 + a_1 q^{-1} \text{ (or } z^{-1}) = 0 \Rightarrow |q \text{ (or } z)| < 1$$

Stability condition: general case

$$1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_n z^{-n} = 0 \Rightarrow |z_i| < 1 \text{ for } i = 1, 2, \dots, n$$

## Steady state (static gain)

*Continuous time* :  $s = 0 \Rightarrow$  *Discrete time* :  $z = e^{sT_s} = 1$

$$G(0) = \left. \frac{b_1 z^{-1}}{1 + a_1 z^{-1}} \right|_{z=1} = \frac{b_1}{1 + a_1}$$

General case:

$$G(0) = H(1) = H(z^{-1}) \Big|_{z=1} = \frac{z^{-d} B(z^{-1})}{A(z^{-1})} \Big|_{z=1} = \frac{\sum_{i=1}^{n_B} b_i}{1 + \sum_{i=1}^{n_A} a_i}$$

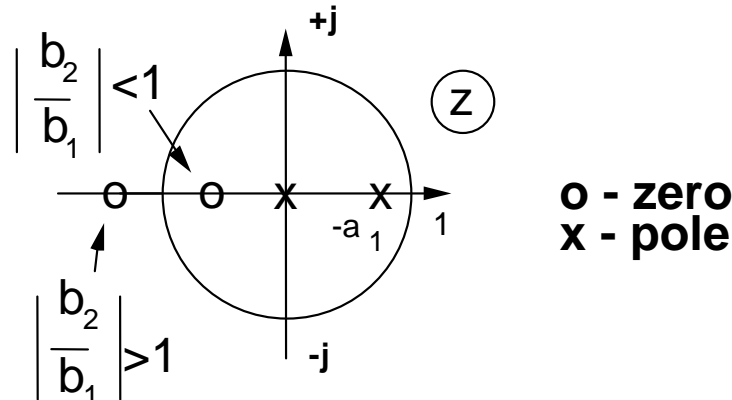
# First order systems with delay

Continuous time model  $H(s) = \frac{G e^{-s\tau}}{1 + T_s s}$        $\tau = d.T_s + L$ ;  $0 < L < T_s$       **Fractional delay**

Discrete time model  $H(z^{-1}) = \frac{z^{-d} (b_1 z^{-1} + b_2 z^{-2})}{1 + a_1 z^{-1}} = \frac{z^{-d-1} (b_1 + b_2 z^{-1})}{1 + a_1 z^{-1}}$

$$a_1 = -e^{-\frac{T_s}{T}} \qquad b_1 = G(1 - e^{-\frac{L-T_s}{T}}) \qquad b_2 = G e^{-\frac{T_s}{T}} (e^{\frac{L}{T}} - 1)$$

**Remark:** For  $L > 0.5T_s \Rightarrow b_2 > b_1 \Rightarrow \text{unstable zero} \left( \left| -\frac{b_2}{b_1} \right| > 1 \right)$

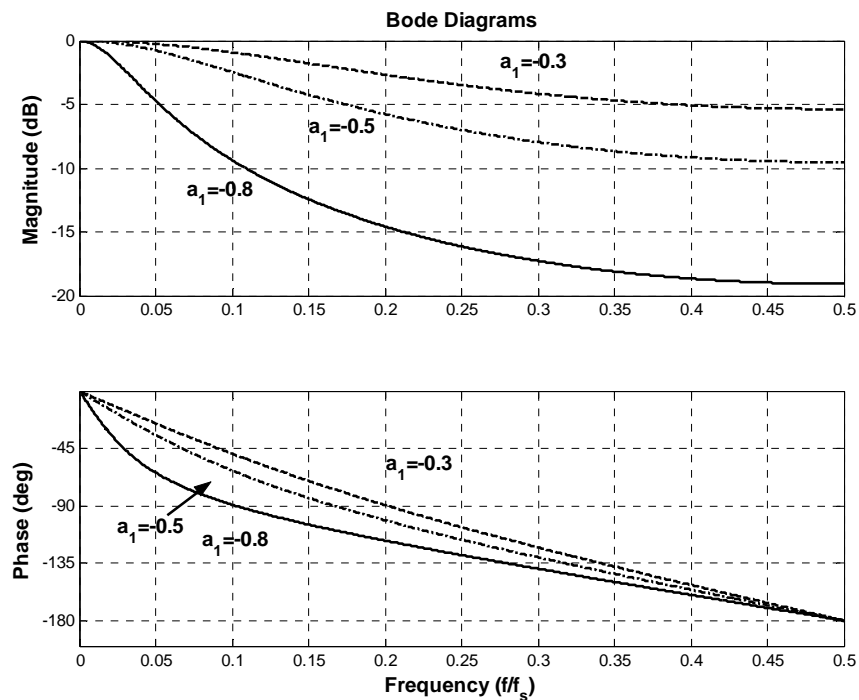
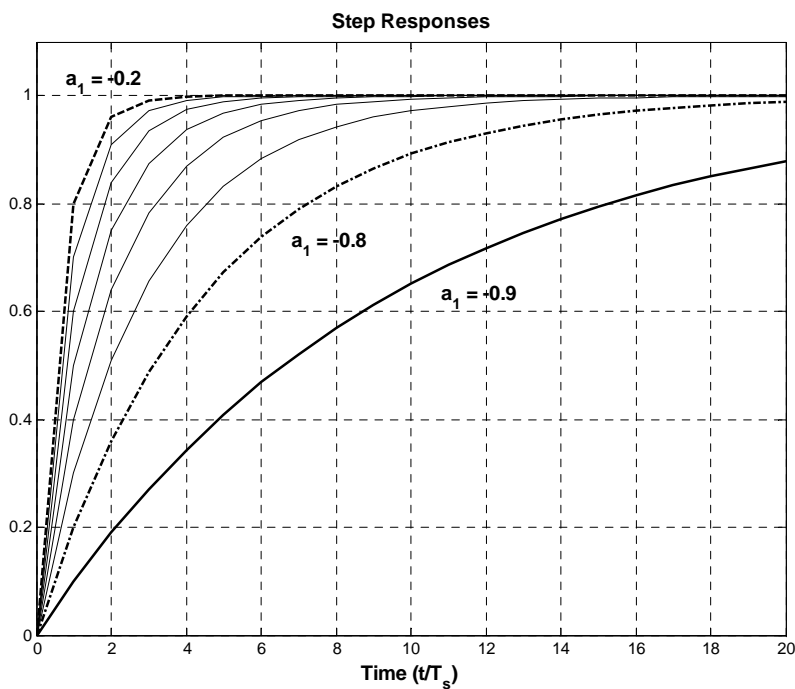


# First order discrete time systems

$$H(z^{-1}) = \frac{b_1 z^{-1}}{1 + a_1 z^{-1}}$$

Time responses

Frequency responses

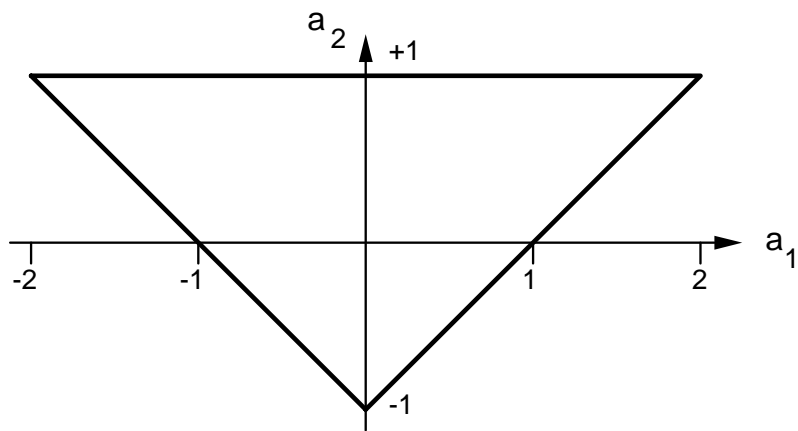
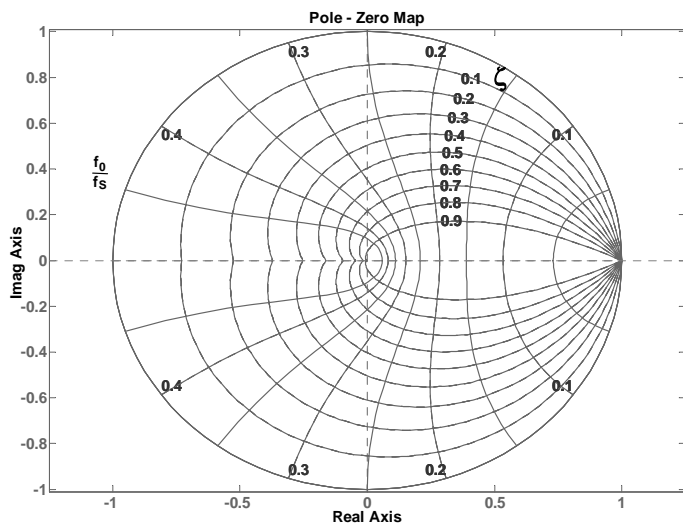


# Second order discrete time systems

Discretization of 2nd order continuous time system with time delay

$$H(z^{-1}) = \frac{z^{-d} (b_1 z^{-1} + b_2 z^{-2})}{1 + a_1 z^{-1} + a_2 z^{-2}}$$

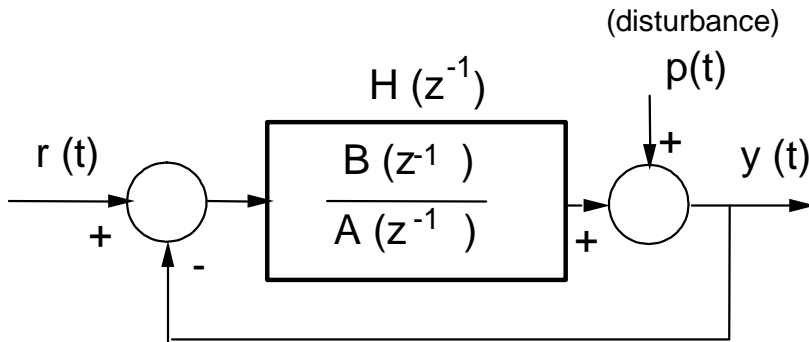
Poles:  $z_{1,2} = e^{-\zeta \omega_0 T_s \pm j \omega_0 \sqrt{1-\zeta^2} T_s}$  (continuous poles obtained by:  $e^{sT_s}$ )



Stability domain for  $a_1$  and  $a_2$

The curves for  $\zeta = \text{const}$  and  $\omega_0 T_s / 2\pi = f_0 / f_s = \text{constant}$  in the z-plane for a second-order discrete-time system

# Disturbances rejection



T.F.  $p \longrightarrow y : S_{yp}$

$S_{yp}$ : *Output Sensitivity function*

$$S_{yp}(z^{-1}) = \frac{1}{1 + H(z^{-1})} = \frac{A(z^{-1})}{A(z^{-1}) + B(z^{-1})}$$

Steady state ( $z = 1$ ):

$$y = S_{yp}(1) p = \frac{A(1)}{A(1) + B(1)} p$$

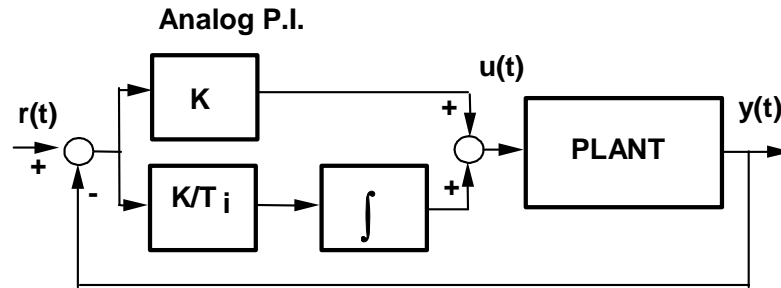
Perfect rejection of constant disturbances :  $A(1) = 0$

*An integrator in the feedforward channel is required*

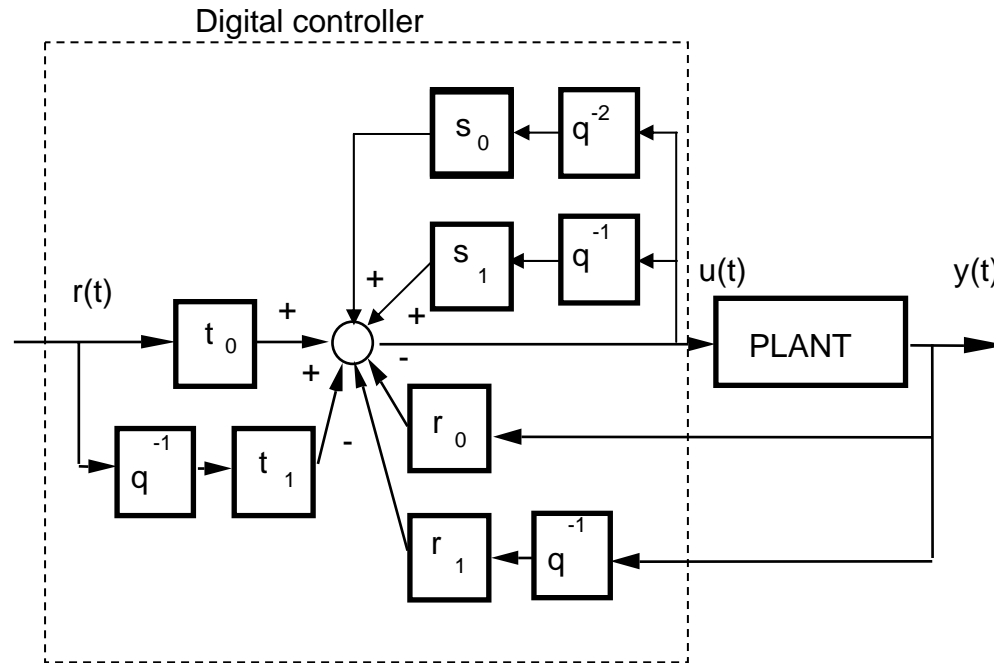


# Digital controller structure

Analog (PI)  
controller

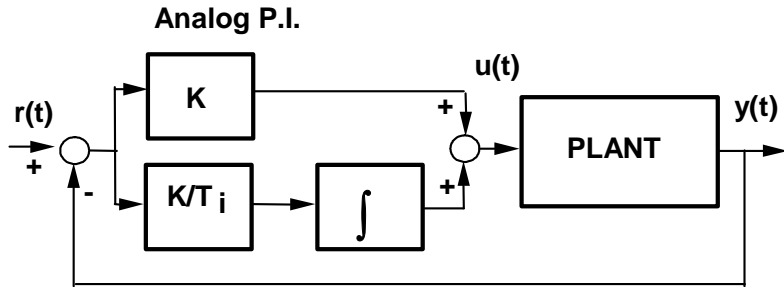


Digital  
controller



The control is a weighted average of the measured output at instants  $t, t-1, \dots, t-n_A \dots$ , of the previous control values at instants  $t-1, t-1 \dots, t-n_B \dots$  and of the reference signal at instants  $t, t-1, \dots$ , the weights being the coefficients of the controller.

# Digital PI controller 1/2



$$u(t) = K \left[ 1 + \frac{1}{pT_i} \right] [r(t) - y(t)]$$

Discretization:  $\frac{dx}{dt} = px \approx \frac{x(t) - x(t-1)}{T_s} = \frac{1-q^{-1}}{T_s} x(t) ; \quad \int x dt = \frac{1}{p} x \approx \left[ \frac{T_s}{1-q^{-1}} \right] x(t)$

The  $p$  operator is replaced by  $(1 - q^{-1})/T_s$

Digital PI :

$$u(t) = \frac{K(1 - q^{-1}) + \frac{KT_s}{T_i}}{1 - q^{-1}} [r(t) - y(t)]$$

After multiplying by  $(1 - q^{-1})$ :  $S(q^{-1})u(t) = T(q^{-1})r(t) - R(q^{-1})y(t)$

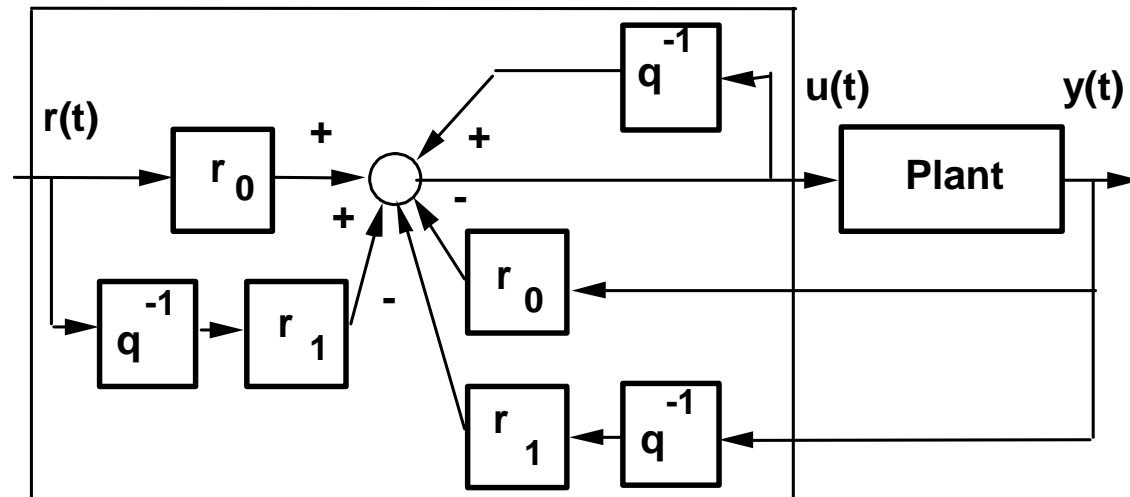
$$S(q^{-1}) = 1 - q^{-1} = 1 + s_1 q^{-1} ; \quad (s_1 = -1) \quad T(q^{-1}) = K(1 + \frac{T_s}{T_i}) - Kq^{-1} = r_0 + r_1 q^{-1}$$

## Digital PI controller 2/2

$$S(q^{-1})u(t) = T(q^{-1})r(t) - R(q^{-1})y(t)$$

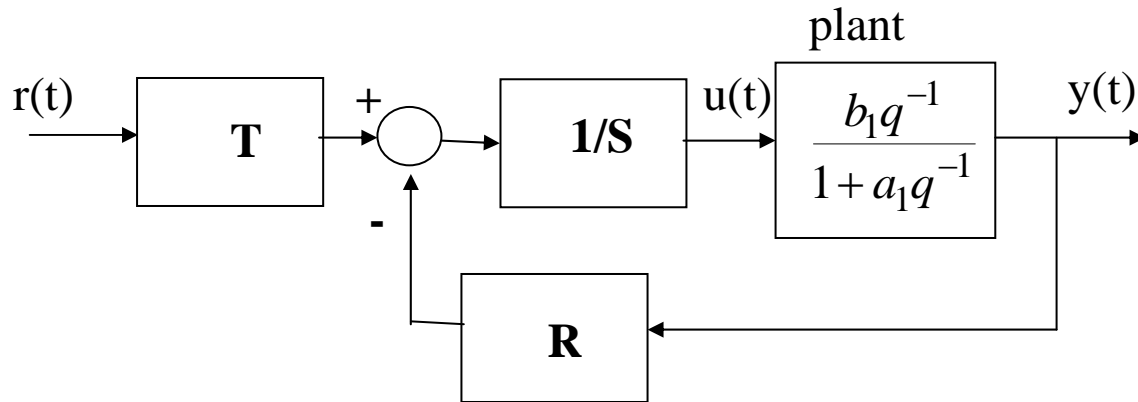
$$S(q^{-1}) = 1 - q^{-1} = 1 + s_1 q^{-1}; \quad (s_1 = -1) \quad T(q^{-1}) = K(1 + \frac{T_e}{T_i}) - Kq^{-1} = r_0 + r_1 q^{-1}$$

Digital P.I.



$$u(t) = u(t-1) - R(q^{-1})y(t) + T(q^{-1})r(t)$$

# Closed loop with a digital PI controller 1/2



$$R(q^{-1}) = T(q^{-1}) = r_0 + r_1 q^{-1} ; \quad S(q^{-1}) = 1 - q^{-1} ; \quad \boxed{r_0, r_1 = ?}$$

$$H_{CL}(q^{-1}) = \frac{B(q^{-1})T(q^{-1})}{A(q^{-1})S(q^{-1}) + B(q^{-1})R(q^{-1})} = \frac{B(q^{-1})T(q^{-1})}{P(q^{-1})}$$

Desired poles ( discretization of a 2nd order filter  $(\omega_0, \zeta)$ )

$$(1 + a_1 q^{-1})(1 - q^{-1}) + b_1 q^{-1}(r_0 + r_1 q^{-1}) = 1 + p_1 q^{-1} + p_2 q^{-2}$$

$$1 + (a_1 - 1 + r_0 b_1) q^{-1} + (b_1 r_1 - a_1) q^{-2} = 1 + p_1 q^{-1} + p_2 q^{-2}$$

## Closed loop with a digital PI controller 2/2

$$\begin{cases} a_1 - 1 + r_0 b_1 = p_1 \\ b_1 r_1 - a_1 = p_2 \end{cases}$$

**Digital PI controller parameters :**

$$r_1 = \frac{p_2 + a_1}{b_1}$$

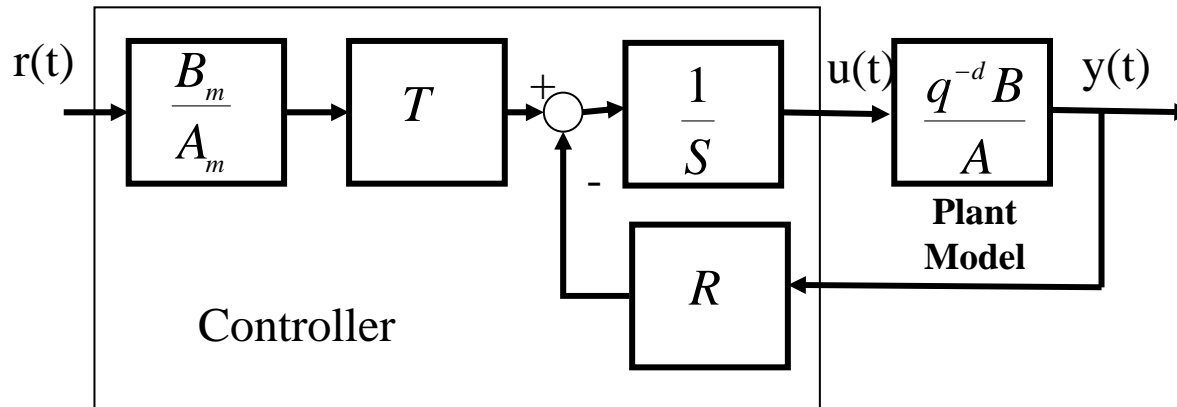
$$r_0 = \frac{p_1 - a_1 + 1}{b_1}$$

*They depend on model parameters ( $a_1, b_1$ ) and desired performances ( $p_1, p_2$ )*

In this particular case the parameters of an equivalent continuous time PI controller can be computed

$$T(q^{-1}) = K \left( 1 + \frac{T_s}{T_i} q^{-1} \right) - K q^{-1} = r_0 + r_1 q^{-1}$$
$$K = -r_1 \qquad T_i = -\frac{T_e r_1}{r_1 + r_0}$$

# The R-S-T Digital Controller



*Plant Model:*

$$G(q^{-1}) = H(q^{-1}) = \frac{q^{-d} B(q^{-1})}{A(q^{-1})} = \frac{q^{-d-1} B^*(q^{-1})}{A(q^{-1})}$$

$$A(q^{-1}) = 1 + a_1 q^{-1} + \dots + a_{n_a} q^{-n_a} \quad B(q^{-1}) = b_1 q^{-1} + \dots + b_{n_b} q^{-n_b} = q^{-1} B^*(q^{-1})$$

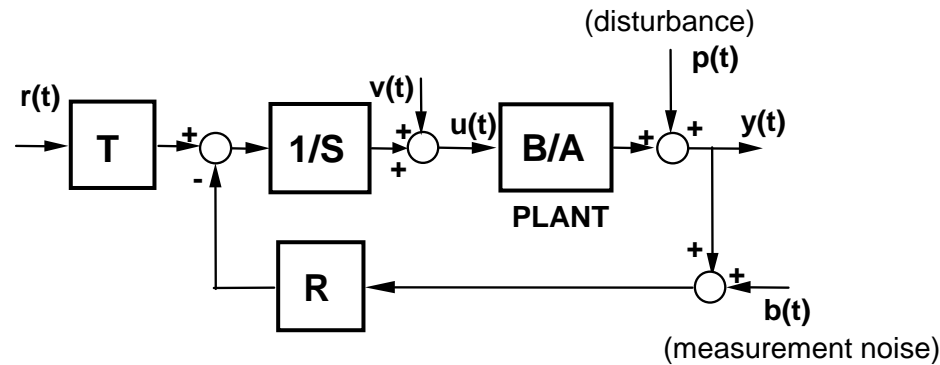
*R-S-T Controller:*

$$S(q^{-1})u(t) = T(q^{-1})y^*(t+d+1) - R(q^{-1})y(t)$$

*Characteristic polynomial (closed loop poles):*

$$P(q^{-1}) = A(q^{-1})S(q^{-1}) + q^{-d} B(q^{-1})R(q^{-1})$$

# Digital control in the presence of disturbances and noise



Output sensitivity function  
( $p \rightarrow y$ )

$$S_{yp}(z^{-1}) = \frac{A(z^{-1})S(z^{-1})}{A(z^{-1})S(z^{-1}) + B(z^{-1})R(z^{-1})}$$

Input sensitivity function  
( $p \rightarrow u$ )

$$S_{up}(z^{-1}) = \frac{-A(z^{-1})R(z^{-1})}{A(z^{-1})S(z^{-1}) + B(z^{-1})R(z^{-1})}$$

Noise-output sensitivity function  
( $b \rightarrow y$ )

$$S_{yb}(z^{-1}) = \frac{-B(z^{-1})R(z^{-1})}{A(z^{-1})S(z^{-1}) + B(z^{-1})R(z^{-1})}$$

Input disturbance-output sensitivity function  
( $v \rightarrow y$ )

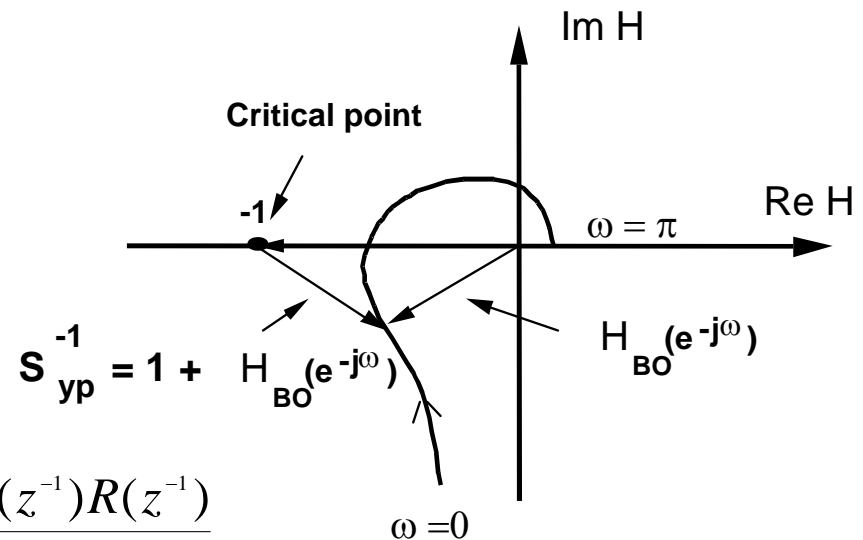
$$S_{yv}(z^{-1}) = \frac{B(z^{-1})S(z^{-1})}{A(z^{-1})S(z^{-1}) + B(z^{-1})R(z^{-1})}$$

**All four sensitivity functions should be stable !**

# Stability of closed loop discrete time systems

The Nyquist is used like in continuous time  
(can be displayed with WinReg ou *Nyquist\_OL.sci(.m)*)

$$H_{OL}(e^{-j\omega}) = \frac{B(e^{-j\omega})R(e^{-j\omega})}{A(e^{-j\omega})S(e^{-j\omega})}$$



$$S_{yp}^{-1}(z^{-1}) = 1 + H_{OL}(z^{-1}) = \frac{A(z^{-1})S(z^{-1}) + B(z^{-1})R(z^{-1})}{A(z^{-1})S(z^{-1})}$$

Nyquist criterion (discrete time –O.L. is stable)

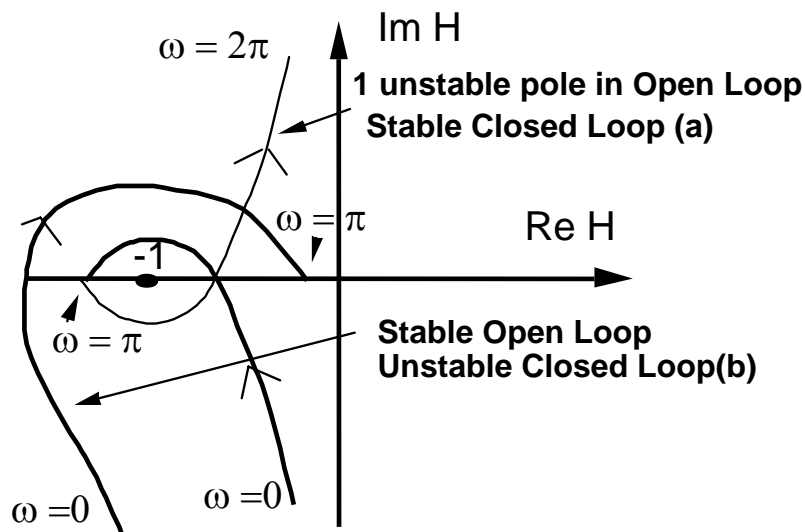
*The Nyquist plot of the open loop transfer fct.  $H_{OL}(e^{-j\omega})$  traversed in the sense of growing frequencies (from 0 to  $0.5f_s$ ) leaves the critical point  $[-1, j0]$  on the left*



# Stability of closed loop discrete time systems

## Nyquist criterion (discrete time –O.L. is unstable)

*The Nyquist plot of the open loop transfer fct.  $H_{OL}(e^{-j\omega})$  traversed in the sense of growing frequencies (from 0 et  $f_s$ ) leaves the critical point  $[-1, j0]$  on the left and the number of encirclements of the critical point counter clockwise should be equal to the number of unstable poles in open loop.*



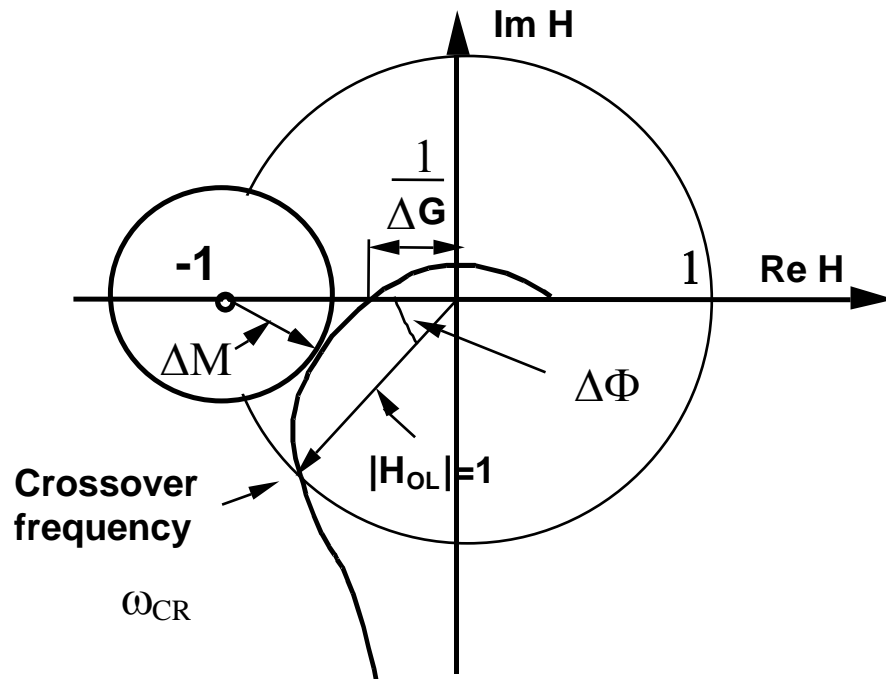
### Remarks:

*-The controller poles may become unstable if high performances are required without using an appropriate design method*

*-The Nyquist plot from  $0.5f_s$  to  $f_s$  is the symmetric with respect to the real axis of the Nyquist plot from 0 to  $0.5f_s$*

## Robustness margins

The minimal distance with respect to the critical point characterizes the robustness of the CL with respect to uncertainties on the plant model parameters( or their variations)



- Gain margin  $\Delta G$
- Phase margin  $\Delta \phi$
- Delay margin  $\Delta \tau$
- Modulus margin  $\Delta M$

## Robustness margins – typical values

Gain margin :  $\Delta G \geq 2$  (6 dB) [*min* : 1,6 (4 dB)]

Phase margin :  $30^\circ \leq \Delta\phi \leq 60^\circ$

Delay margin : fraction of system delay (10%) or  
of time response (10%) (often  $1.T_s$ )

Modulus margin :  $\Delta M \geq 0.5$  (- 6 dB) [*min* : 0,4 (-8 dB)]

A modulus margin  $\Delta M \geq 0.5$  implies  $\Delta G \geq 2$  et  $\Delta\phi > 29^\circ$   
*Attention ! The converse is not generally true*

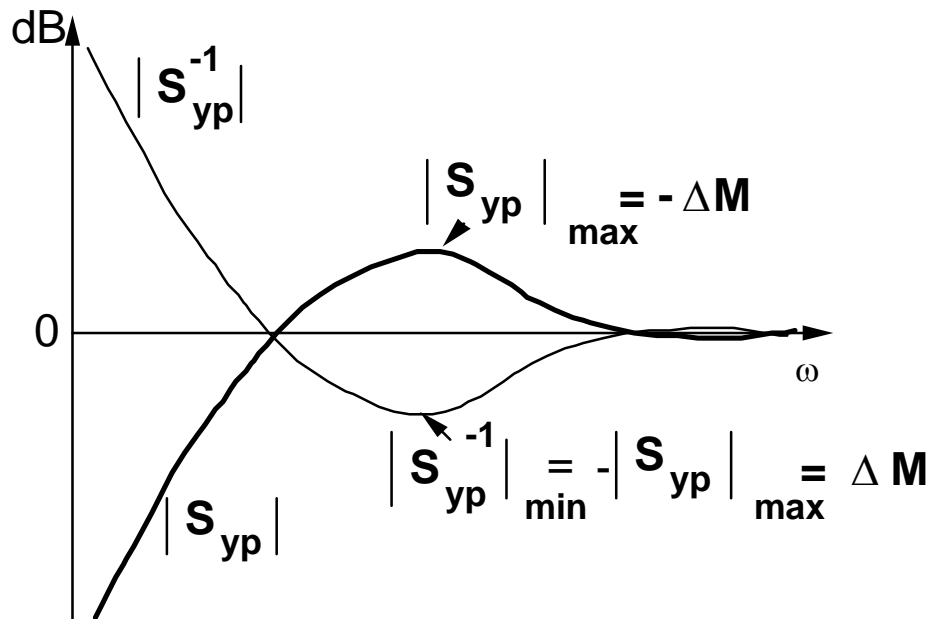
The *modulus margin* defines also the tolerance with respect to nonlinearities

# Modulus margin and sensitivity function

$$\Delta M = \left| 1 + H_{OL}(z^{-1}) \right|_{\min} = \left| S_{yp}^{-1}(z^{-1}) \right|_{\min} = \left( \left| S_{yp}(z^{-1}) \right|_{\max} \right)^{-1} =$$

$$\left( \left| \frac{A(z^{-1})S(z^{-1})}{A(z^{-1})S(z^{-1}) + B(z^{-1})R(z^{-1})} \right|_{\max} \right)^{-1} \quad \text{pour } z^{-1} = e^{-j2\pi f}$$

$$\left| S_{yp}(e^{-j\omega}) \right|_{\max} \text{ dB} = \Delta M^{-1} \text{ dB} = -\Delta M \text{ dB}$$



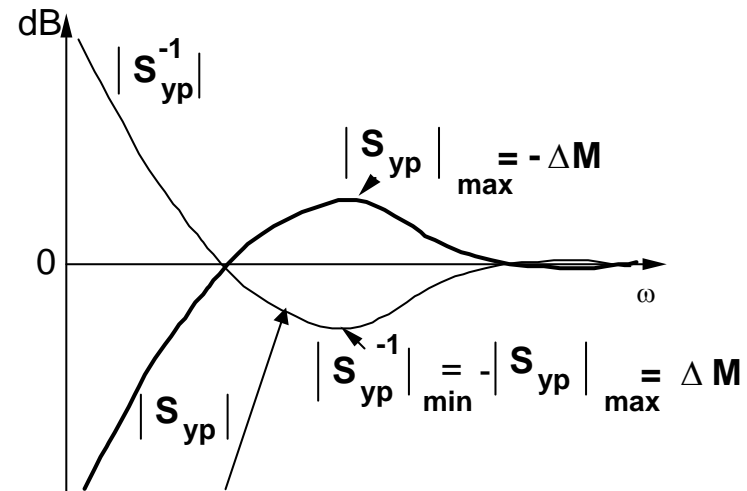
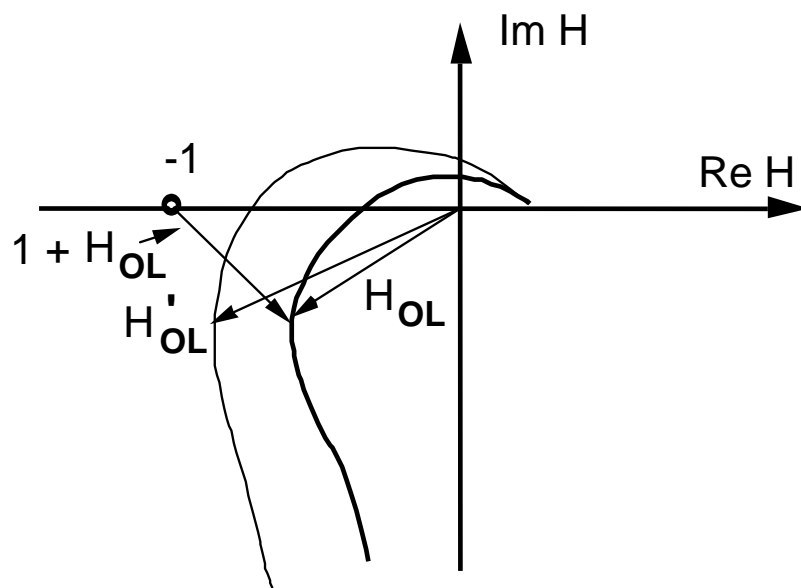
# Robust stability

To assure stability in the presence of uncertainties (or variations) on the dynamic characteristics of the plant model

$H_{OL}$  – nominal F.T.;  $H'_{OL}$  – Different from  $H_{OL}$  (perturbed)

Robust stability condition  
(sufficient cond.):

$$\begin{aligned} |H'_{OL}(z^{-1}) - H_{OL}(z^{-1})| < |1 + H_{OL}(z^{-1})| &= |S_{yp}^{-1}(z^{-1})| = \\ \left| \frac{A(z^{-1})S(z^{-1}) + B(z^{-1})R(z^{-1})}{A(z^{-1})S(z^{-1})} \right| = \left| \frac{P(z^{-1})}{A(z^{-1})S(z^{-1})} \right| &; \quad z^{-1} = e^{-j\omega} \end{aligned} \quad (*)$$

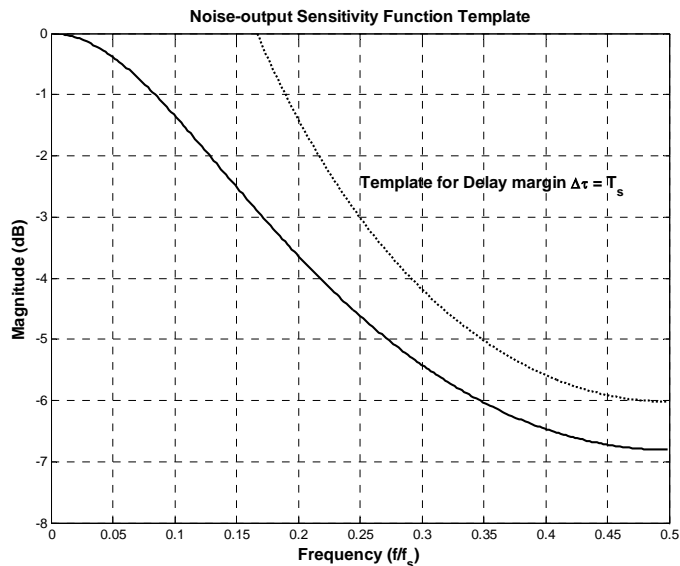


Size of the tolerated uncertainty on  $H_{OL}$  at each frequency (radius)

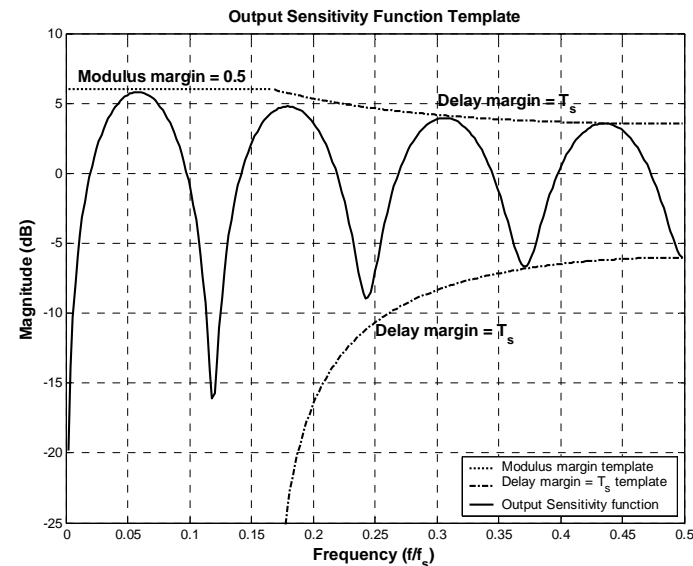
# Frequency templates on the sensitivity functions

The robust stability conditions allow to define frequency templates on the sensitivity functions which guarantee the delay margin and the modulus margin;

*The templates are essential for designing a good controller*



Frequency template on the noise-output sensitivity function  $S_{yb}$  for  $\Delta\tau = T_s$



Frequency template on the output sensitivity function  $S_{yp}$  for  $\Delta\tau = T_s$  and  $\Delta M = 0.5$

## Concluding remarks

-Discrete time dynamic models are described by recursive equations:

$$y(t) = -\sum_{i=1}^{n_A} a_i y(t-i) + \sum_{i=1}^{n_B} b_i u(t-d-i)$$

$u(t) = \text{input}$  ;  $y(t) = \text{output}$  ;  $d = \text{integer delay (number of sampling periods)}$

-The  $q^{-1}$  operator is a convenient tool for coding recursive equations.

-The input/output behavior is described by the transfer operator:

$$H(q^{-1}) = \frac{q^{-d} B(q^{-1})}{A(q^{-1})} \quad A(q^{-1}) = 1 + \sum_{i=1}^{n_A} a_i q^{-i} \quad B(q^{-1}) = 1 + \sum_{i=1}^{n_B} b_i q^{-i}$$

-Digital controllers have a canonical form (R-S-T). They are two degrees of freedom controllers:

$$S(q^{-1})u(t) = -R(q^{-1})y(t) + T(q^{-1})r(t) \quad r(t) = \text{reference}$$

-The Nyquist plot (frequency domain) and the sensitivity functions are essential for studying closed loop stability and robustness with respect to plant parameters uncertainties.