

# New adaptive feedforward compensation algorithms for active vibration control with mechanical coupling.

## *Theory and applications*

**I.D. Landau** – Emeritus Research Director at CNRS, GIPSA-LAB

**T.B. Airimitoaie** – PhD Student GIPSA Lab, University of Grenoble

**M. Alma** – Teaching assistant (PhD) GIPSA Lab, University of Grenoble



University of California, Berkeley, Mech. Eng. Dept. Dec. 8, 2011

## Attenuation (cancellation) of disturbances

- Adaptive feedforward compensation of disturbances has a long history
- Noise and Vibration cancellation were the driving forces

Lets forget for the moment the history and ask the question:

*Why we need adaptive feedforward compensation?*

# Attenuation (cancellation) of disturbances

How about using feedback?

- The performance of disturbance attenuation is limited by the Bode integral
- Only narrow or limited band disturbances can be significantly attenuated by feedback

For “wide band“ disturbances one needs to use:  
**feedforward compensation**

Why it should be adaptive?

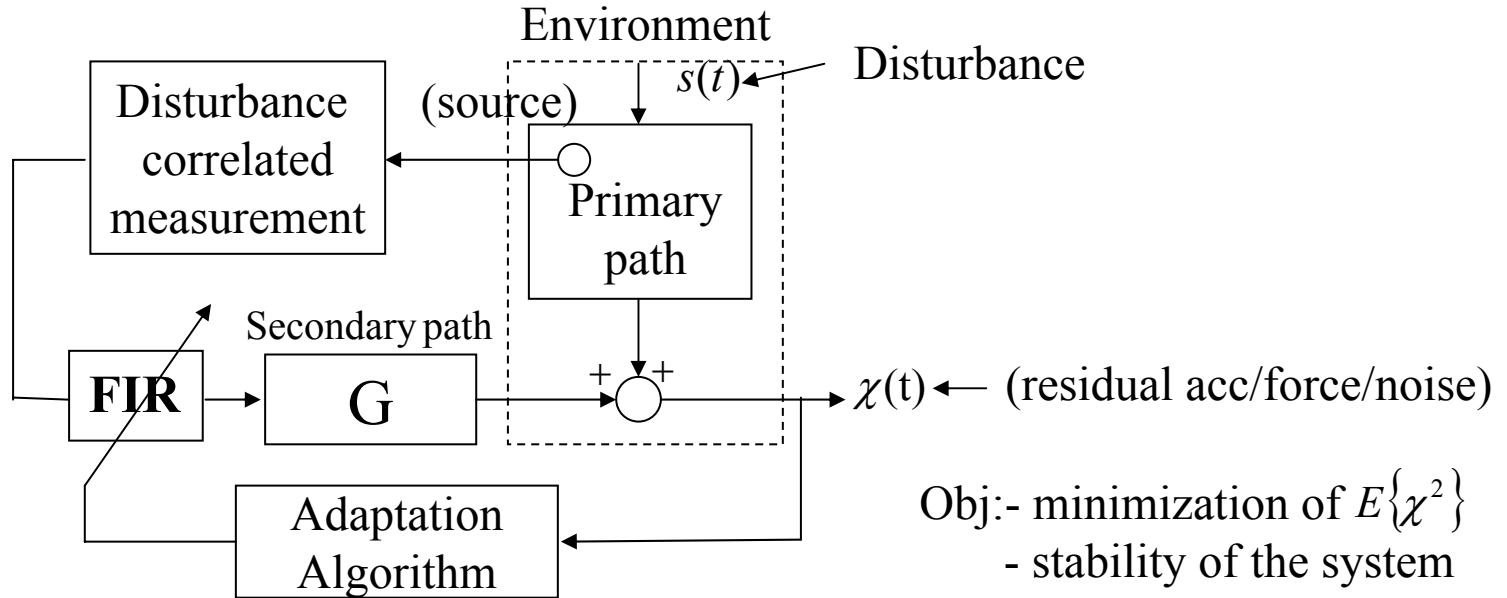
Because the characteristics of the disturbance are unknown and time varying

What is the price to pay?

- One needs a correlated measurement with the disturbance.
- This implies:
  - 1) Hardware (additional transducer)
  - 2) A good location of the transducer (may require a study).

# Adaptive Feedforward Compensation

An “idyllic” scheme for adaptive feedforward disturbance compensation



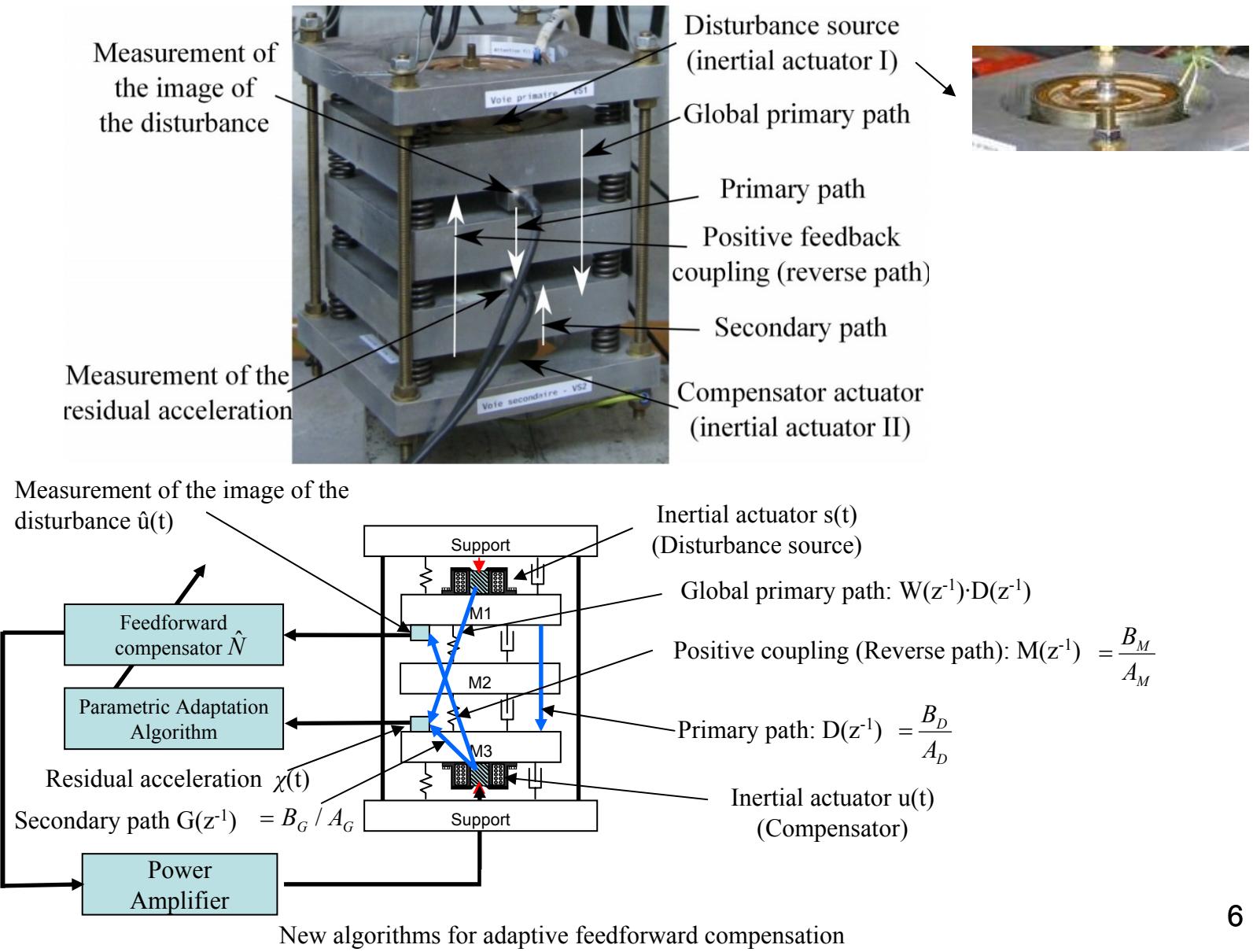
*The reality is different*

- The compensator system (secondary path) acts upon the source
- There is an **internal positive feedback** (physical feedback)
- FIR compensators are not very efficient

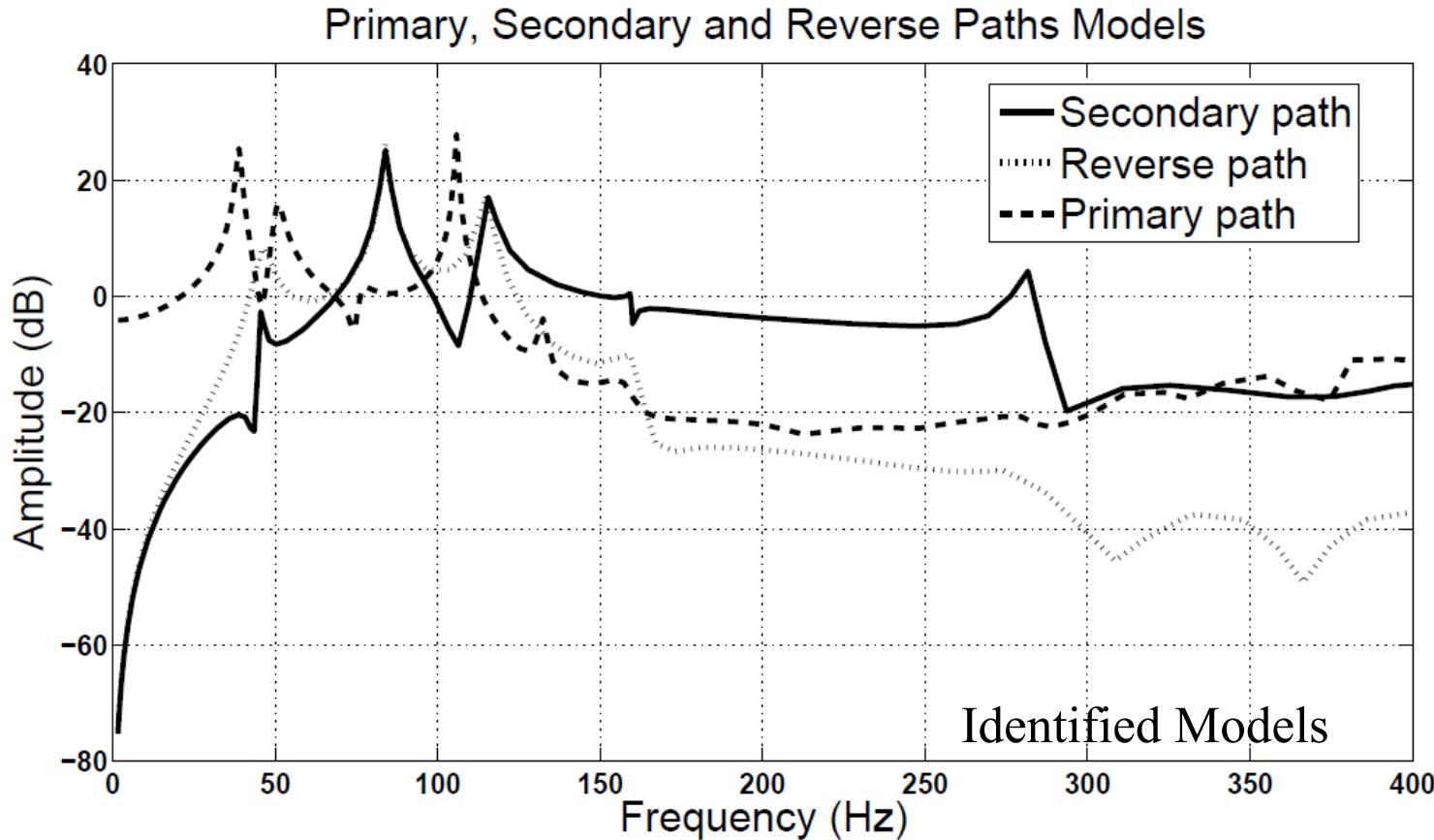
# Outline

- Why we need “adaptive feedforward compensation”?
- A basic configuration
- The inherent positive internal feedback
- An active vibration control system
- Background
- Problem formulation
- Adaptive IIR feedforward compensators
- Experimental results and comparisons with existing algorithms
- Youla Kucera parametrized adaptive feedforward compensators
- Experimental results and comparison with adaptive IIR
- How about adding feedback?
- Experimental results
- Concluding comments

# An active vibration control system using an inertial actuator



# Frequency characteristics



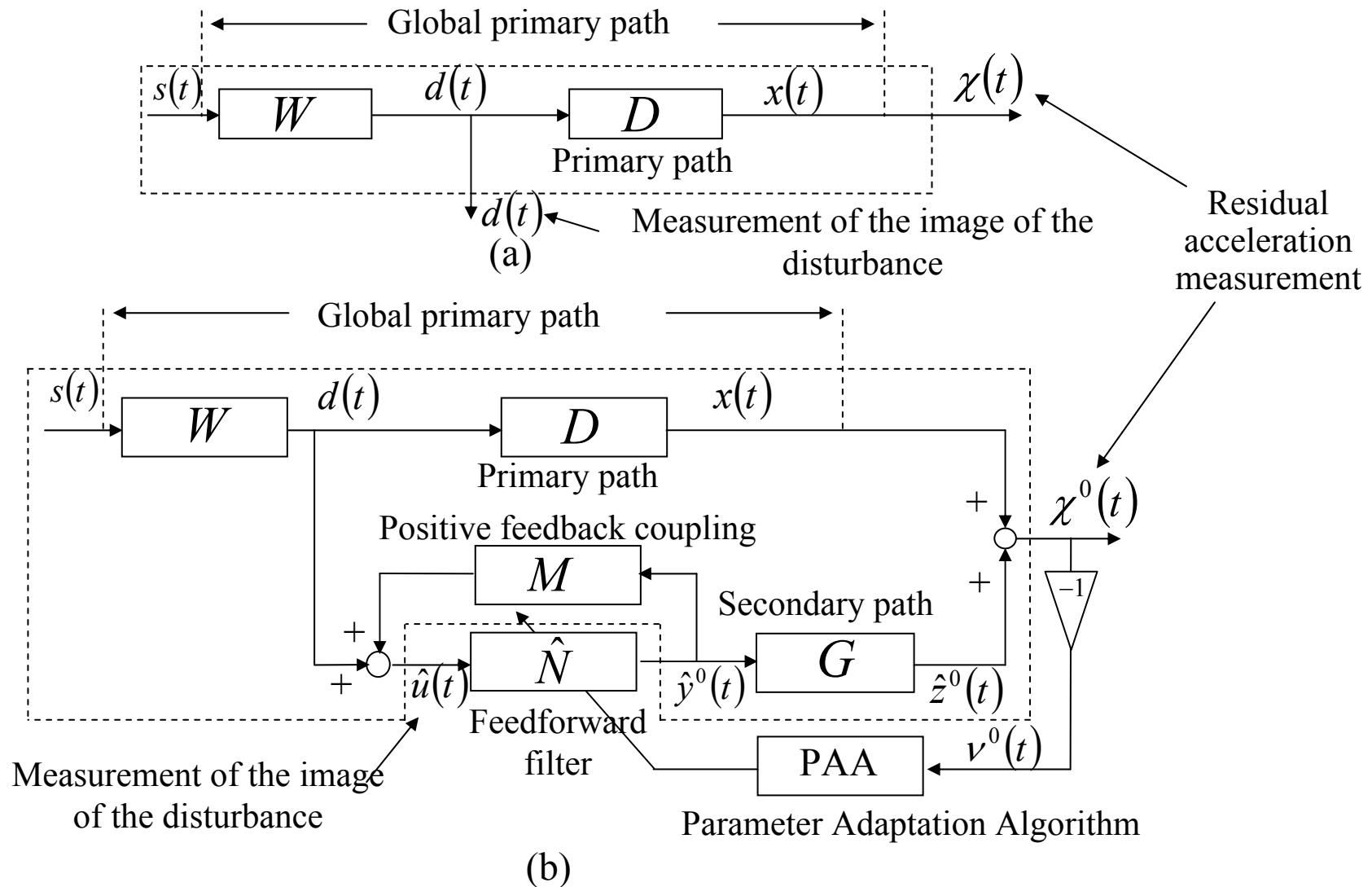
### Complexity of the models:

$$n_{B_D} = 26, \quad n_{A_D} = 26; \quad n_{B_G} = 17, \quad n_{A_G} = 15; \quad n_{B_M} = 16, \quad n_{A_M} = 16;$$

primary                          secondary                          reverse

Rem: secondary path has complex zeros at 108 Hz where primary path has a resonance

# IIR Adaptive feedforward disturbance compensation



## Background

- The adaptive feedforward compensation algorithms have been developed assuming no « internal positive coupling »
- The « internal positive coupling » has been recognized after 1995
- Ad-hoc solutions have been proposed. Not validated by practice

### Directions of research:

- *Analysis of existing algorithms (Fu-LMS) in presence of internal feedback (Wang-Ren(1999), Fraanje(2003) - convergence but not stability analysis)*
- *Development of dedicated adaptation algorithms for IIR feedforward compensators (stability approach)(Johnson-Jacobson(2001), Landau et al (2011))*
- *Use of Youla Kucera parametrization around a stabilizing fix controller (Callafon-Zeng (2006) - MBD, not continuous adaptation, no stability analysis, Landau et al, (2011a,b) – stable adaptive YK\_FIR and YK\_IIR)*

## The Problem

The adaptive feedforward compensator should minimize the effect of the disturbance while simultaneously assuring the stability of the internal positive feedback loop.



### How to solve the problem:

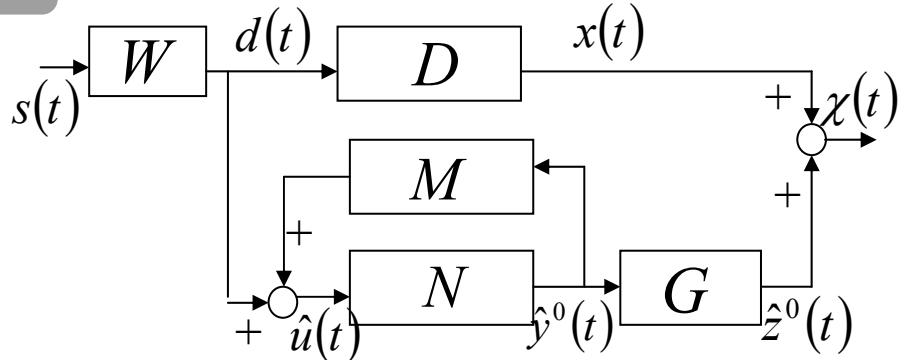
- 1) Design the largest family of stable adaptive algorithms for feedforward compensation in the presence of the internal positive feedback loop
- 2) Find among this family the algorithms which provide the best performance

## Hypotheses

### Case I (basic case)

H1: disturbance  $d(t)$  is bounded

H2: Perfect matching condition



Exists  $N(z^{-1}) = \frac{R(z^{-1})}{S(z^{-1})}$  such that

$$\frac{GN}{1 - NM} = \frac{G \cdot A_M R}{A_M S - B_M R} = -D$$

and the characteristic polynomial of the internal feedback loop:

$$P(z^{-1}) = A_M(z^{-1})S(z^{-1}) - B_M(z^{-1})R(z^{-1})$$

is Hurwitz

H3: Measurement noise on the residual error is neglected  
(deterministic context)

H4: The primary path model D is unknown and constant

**Case II :** Hypotheses H2 and H3 are removed

## IIR Feedforward - Basic equations

Feedforward compensator :

$$\hat{N}(t, q^{-1}) = \hat{R}(t, q^{-1}) / \hat{S}(t, q^{-1})$$

Define:

$$\hat{\theta}^T(t) = [\hat{s}_1(t), \dots, \hat{s}_{n_S}(t), \hat{r}_0(t), \dots, \hat{r}_{n_R}(t)]$$

$$\varphi^T(t) = [-\hat{y}(t), \dots, -\hat{y}(t - n_S + 1), \hat{u}(t + 1), \dots, \hat{u}(t - n_R + 1)]$$

Compensator output:

$$\hat{y}^o(t+1) = \hat{y}\left(t+1/\hat{\theta}(t)\right) = \hat{\theta}^T(t)\varphi(t) \quad \hat{y}(t+1) = \hat{y}\left(t+1/\hat{\theta}(t+1)\right) = \hat{\theta}^T(t+1)\varphi(t)$$

Measurement (residual acceleration):  $\chi^0(t+1)$

A priori adaptation error:  $v^0(t+1) = v(t+1/\hat{\theta}(t)) = -\chi^0(t+1)$

A posteriori adaptation error:  $v(t+1) = v(t+1/\hat{\theta}(t+1))$

Filtered observation vector:  $\varphi_f(t) = L(q^{-1})\varphi(t)$

$$v(t+1) = \frac{A_M(q^{-1})G(q^{-1})}{P(q^{-1})L(q^{-1})} [\theta - \hat{\theta}(t+1)]^T \varphi_f(t)$$

## Parametric adaptation algorithms

Measurement (residual acceleration):  $\chi^0(t+1)$

A priori adaptation error:  $v^0(t+1) = -\chi^0(t+1)$

Parametric adaptation algorithm:  $\hat{\theta}(t+1) = \hat{\theta}(t) + F(t)\varphi_f(t)v(t+1)$

A posteriori adaptation error:  $v(t+1) = \frac{v^0(t+1)}{1 + \varphi_f^T(t)F(t)\varphi_f(t)}$

Adaptation gain:  $F(t+1)^{-1} = \lambda_1(t)F(t)^{-1} + \lambda_2(t)\varphi_f(t)\varphi_f(t)^T$

$\lambda_1(t)$  and  $\lambda_2(t)$  define  
the adaptation gain profile  $0 < \lambda_1(t) \leq 1 ; 0 \leq \lambda_2(t) < 2 ; F(0) > 0$

with:  $\varphi_f(t) = L(q^{-1})\varphi(t)$

Choice of  
filter L:  
(for stability)

(I):  $L = G$ ; (II):  $L = \hat{G}$ ;

(III):  $L = \frac{\hat{A}_M}{\hat{P}} \hat{G}$

Best performance

## Stability and Convergence condition -IIR

$$H'(z^{-1}) = H(z^{-1}) - \frac{\lambda_2}{2} = \text{Strictly Positive Real (S.P.R.)}. \quad (*)$$

for Alg. III:  $H(z^{-1}) = \frac{\hat{P}A_M G}{\hat{P}\hat{A}_M \hat{G}}$

const. adapt. gain:  $\lambda_2 = 0$       time varying. adapt. gain:  $\lambda_2 \leq 2$

$$P(z^{-1}) = A_M(z^{-1})\hat{S}(z^{-1}) - B_M(z^{-1})\hat{R}(z^{-1}); \quad \hat{P}(z^{-1}) = \hat{A}_M(z^{-1})\hat{S}(z^{-1}) - \hat{B}_M(z^{-1})\hat{R}(z^{-1})$$

$A_M, B_M$  and  $G$  are constant and very good estimations are available

Condition (\*) for  $\lambda_2=1$  becomes:  $\left| \left( \frac{A_M}{\hat{A}_M} \cdot \frac{\hat{P}}{P} \cdot \frac{G}{\hat{G}} \right)^{-1} - 1 \right| < 1 \text{ for all } \omega$

Rem: Alg III needs initialization with Alg II in order to get a first estimation of  $\hat{P}$

## An interpretation of the SPR condition

For constant adaptation gain the SPR condition on  $H'$  ( $=H$  in this case) implies that the angle between the inverse of the true gradient (which can not be computed) and the direction of correction (defined by  $\varphi_f$ ) is less than  $90^\circ$  in all directions. (see Appendix)

For time varying adaptation gains a similar interpretation holds

## Case II : non perfect matching + measurement noise

### Measurement noise

In the presence of measurement noise independent of the disturbance, convergence occurs under same conditions as for stability in the deterministic context

### Non perfect matching

- Boundedness of the variables can be guaranteed under mild hypotheses
- The approximation in the frequency domain is given by:

$$\hat{\theta}^* = \arg \min_{\hat{\theta}} \int_{-\pi}^{\pi} \left[ |S_{NM}|^2 |N - \hat{N}|^2 |S_{\hat{NM}}|^2 |G|^2 \Phi_d(\omega) + \Phi_w(\omega) \right] d\omega$$

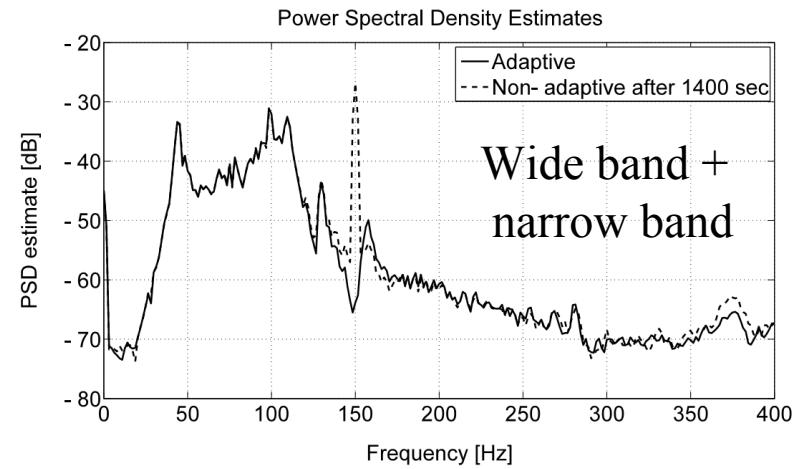
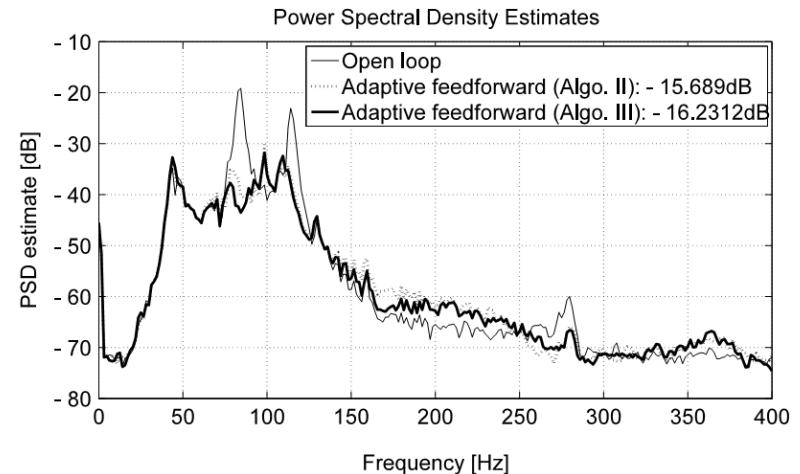
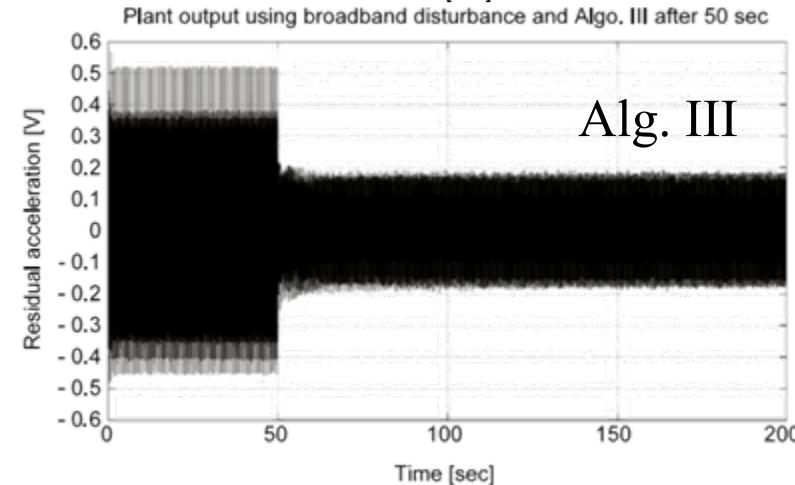
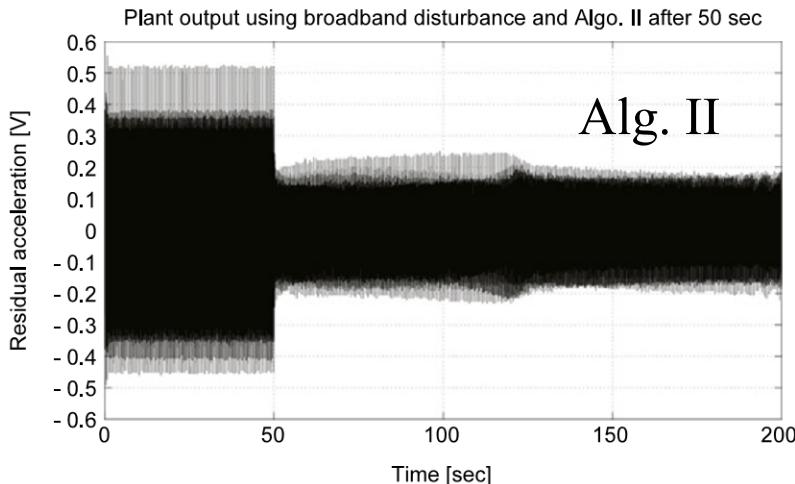
With :  $S_{NM} = \frac{1}{1 - NM}$ ;  $S_{\hat{NM}} = \frac{1}{1 - \hat{NM}}$

$\Phi_d = PSD \text{ of } d$   
 $\Phi_w = PSD \text{ of meas. noise}$

- *Good approximation of the optimal compensator N will be obtained in the frequency regions where  $\Phi_d$  is significant and G has high gain.*
- *Further weighting is introduced by the sensitivity function of the internal loop*

# Adaptive IIR feedforward compensator – Experimental results

## Time varying matrix adaptation gain (decreasing gain + constant trace)

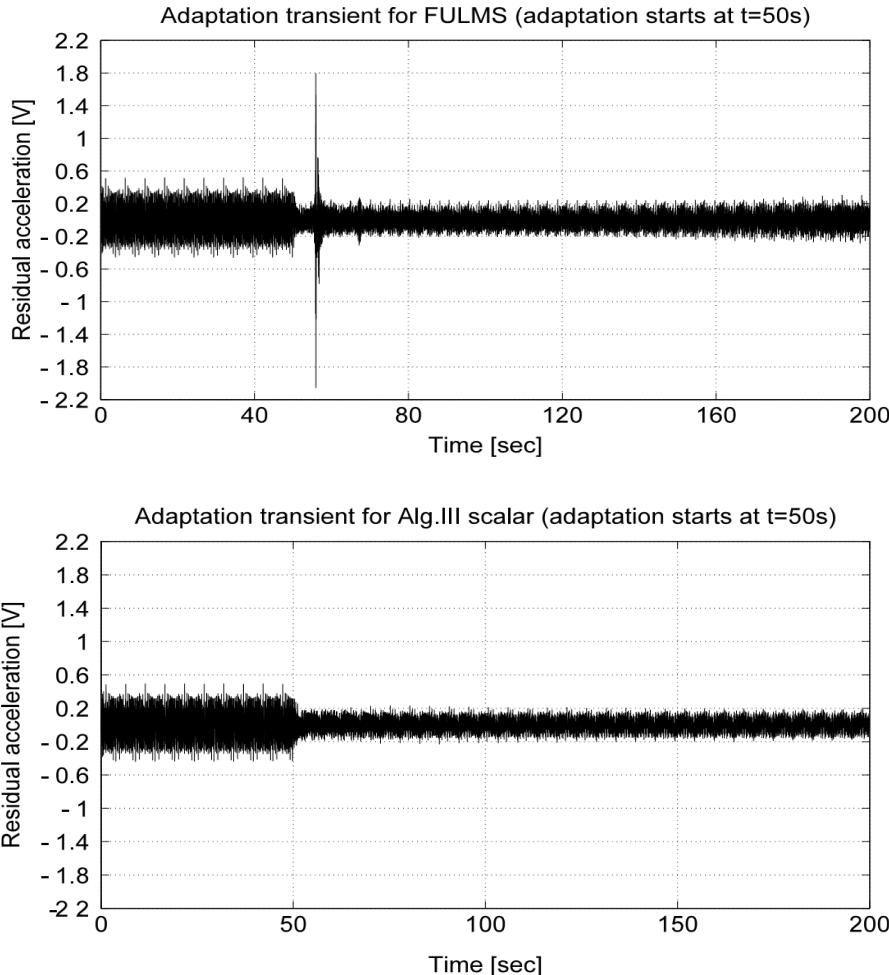


### Influence of the number of parameters upon the global attenuation.

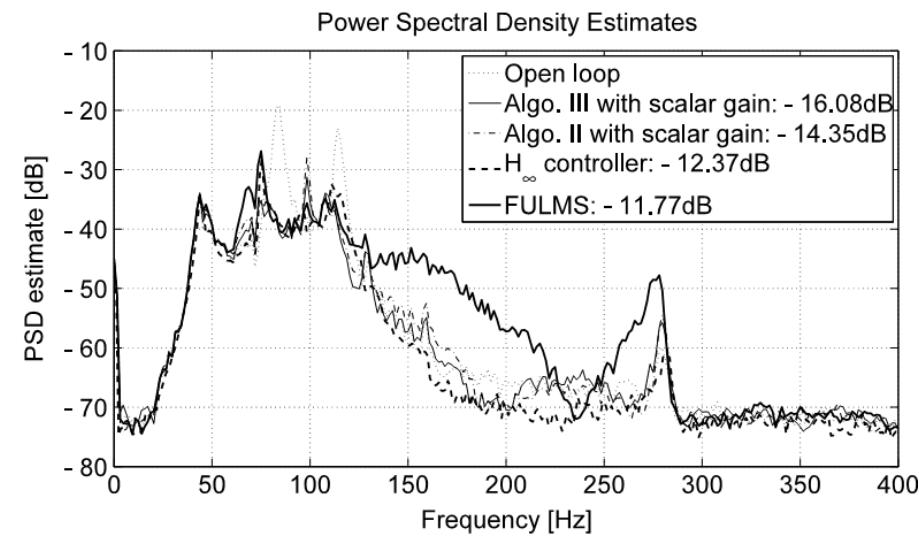
Number of parameters	20	32	40
Global attenuation (db)	16.23	16.49	16.89

# Adaptive IIR feedforward compensator – Experimental results

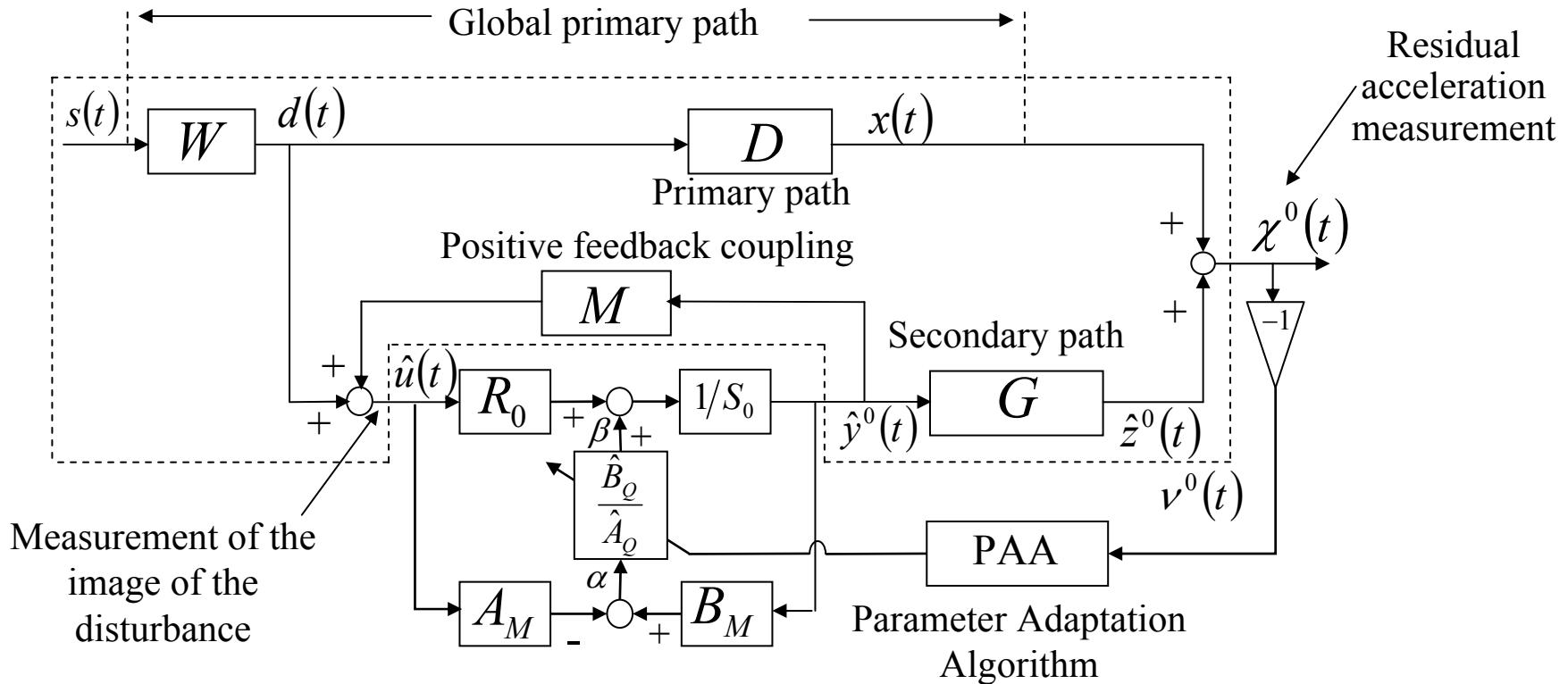
## Scalar adaptation gain – comparison with FULMS



Instability occurs on  
a long run



# IIR Filter + Adaptive YK\_IIR filter feedforward compensation



# IIR Filter + Adaptive Youla Kucera (IIR) – Basic equations

Optimal Q filter:

$$Q(z^{-1}) = \frac{B_Q(z^{-1})}{A_Q(z^{-1})} = \frac{b_0^Q + b_1^Q z^{-1} + \dots + b_{n_B^Q}^Q z^{-n_B^Q}}{1 + a_1^Q z^{-1} + \dots + a_{n_A^Q}^Q z^{-n_A^Q}}$$

$$\hat{R}(q^{-1}) = \hat{A}_Q(q^{-1})R_0(q^{-1}) - A_M(q^{-1})\hat{B}_Q(t, q^{-1}); \quad \hat{S}(q^{-1}) = \hat{A}_Q(q^{-1})S_0(q^{-1}) - B_M(q^{-1})\hat{B}_Q(t, q^{-1})$$

Perfect matching  $\rightarrow$

$$\frac{G \cdot A_M \cdot (R_0 A_Q - A_M B_Q)}{A_Q (A_M S_0 - B_M R_0)} = -D$$

Characteristic polynomial of the internal feedback loop:

$$P(z^{-1}) = A_Q [A_M(z^{-1})S_0(z^{-1}) - B_M(z^{-1})R_0(z^{-1})]$$

YK\_FIR  
 $A_Q = 1$

Define:

$$\hat{\theta}^T(t) = [\hat{b}_0^Q(t), \dots, \hat{b}_{n_B^Q}^Q(t), \hat{a}_1^Q(t), \dots, \hat{a}_{n_A^Q}^Q(t)]$$

$$\hat{\alpha}(t+1) = B_M \hat{y}(t+1) - A_M \hat{u}(t+1); \quad \hat{\beta}(t) = S_0 \hat{y}(t) - R_0 \hat{u}(t)$$

with

$$\varphi^T(t) = [\hat{\alpha}(t+1), \dots, \hat{\alpha}(t-n_{B_Q}+1), -\hat{\beta}(t), \dots, -\hat{\beta}(t-n_{A_Q})]$$

Compensator output:

$$\hat{y}^0(t+1) = -S_0^* \hat{y}(t) + R_0 \hat{u}(t+1) + \hat{\theta}^T(t) \varphi(t)$$

$$\hat{y}(t+1) = -S_0^* \hat{y}(t) + R_0 \hat{u}(t+1) + \hat{\theta}^T(t+1) \varphi(t)$$

New algorithms for adaptive feedforward compensation

## Parametric adaptation algorithms (PAA)

Measurement (residual acceleration):  $\chi^0(t+1)$

A priori adaptation error:  $v^0(t+1) = -\chi^0(t+1)$

Parametric adaptation algorithm:  $\hat{\theta}(t+1) = \hat{\theta}(t) + F(t)\varphi_f(t)v(t+1)$

A posteriori adaptation error:  $v(t+1) = \frac{v^0(t+1)}{1 + \varphi_f^T(t)F(t)\varphi_f(t)}$

Adaptation gain:  $F(t+1)^{-1} = \lambda_1(t)F(t)^{-1} + \lambda_2(t)\varphi_f(t)\varphi_f(t)^T$

$\lambda_1(t)$  and  $\lambda_2(t)$  define  
the adaptation gain profile  $0 < \lambda_1(t) \leq 1 ; 0 \leq \lambda_2(t) < 2 ; F(0) > 0$

with:  $\varphi_f(t) = L(q^{-1})\varphi(t)$

Choice of filter L:  
(for stability)

(I):  $L = G$ ; (II):  $L = \hat{G}$ ;

(III):  $L = \frac{\hat{A}_M}{\hat{P}}\hat{G}$

Best performance

$$v(t+1) = \frac{A_M(q^{-1})}{P(q^{-1})L(q^{-1})}G(q^{-1})[\theta - \hat{\theta}(t+1)]^T\varphi_f(t)$$

## Stability and Convergence condition

$$H(z^{-1}) - \frac{\lambda_2}{2} = \text{Strictly Positive Real (S.P.R.)}.$$

for Alg. III:  $H(z^{-1}) = \frac{\hat{P}A_M G}{\hat{P}\hat{A}_M \hat{G}}$  (for IIR, YK\_FIR, YK\_IIR)

const. adapt. gain:  $\lambda_2 = 0$       time varying. adapt. gain:  $\lambda_2 \leq 2$

Adaptive IIR

$$P(z^{-1}) = A_M(z^{-1})\hat{S}(z^{-1}) - B_M(z^{-1})\hat{R}(z^{-1})$$

IIR + adaptiveYK\_FIR

$$P(z^{-1}) = A_M(z^{-1})S_0(z^{-1}) - B_M(z^{-1})R_0(z^{-1})$$

IIR + adaptiveYK\_IIR

$$P(z^{-1}) = \hat{A}_Q [A_M(z^{-1})\overset{\downarrow}{S}_0(z^{-1}) - B_M(z^{-1})\overset{\nearrow}{R}_0(z^{-1})]$$

$A_M, B_M$  and G are constant and very good estimations are available

## Theoretical comparative analysis

Adaptive IIR, Fix IIR+adaptive YK\_FIR, Fix IIR+adaptive YK\_IIR,  
*same Strictly Positive Real condition for stability and convergence*  
**Differences**

- **The poles of the internal loop**

IIR: unknown, can be very close to the unit circle

IIR+YK\_FIR: assigned and fixed

IIR+YK\_IIR: partly assigned and fixed, the remaining can be bounded

- **The SPR condition (to be achieved by filtering)**

IIR: one needs an estimation of the poles to build the filter III

IIR+YK\_FIR: the poles for the filter III are known from the begining

IIR+YK\_IIR: some poles are known from the beginning, the other are directly obtained from the PAA

- **Use of a model based designed compensator for initialization**

IIR: difficult (dimension problem)

IIR+ YK\_FIR or +YK\_IIR: easy (it is the central stabilizing controller)

## Summary of experimental results

Disturbance : wide band disturbance

*Comparable performance both in frequency and in time domains*

### Global attenuation

Number of adjustable parameters	0	8	16	32	40
Global attenuation-IIR (db)	-			<b>16.49</b>	16.89
Global att.-YK_FIR/ $H_{\infty}$ (db)	14.70	15.4	15.6	<b>16.52</b>	16.03
Global att.-YK_FIR/PP (db)	4.61	14.69	<b>15.89</b>	15.7	15.33
<b>Global att.-YK_IIR/<math>H_{\infty}</math> (db)</b>	14.70	<b>16.53</b>	16.47		
<b>Global att.-YK_IIR/PP (db)</b>	4.61	15.53	<b>16.21</b>		

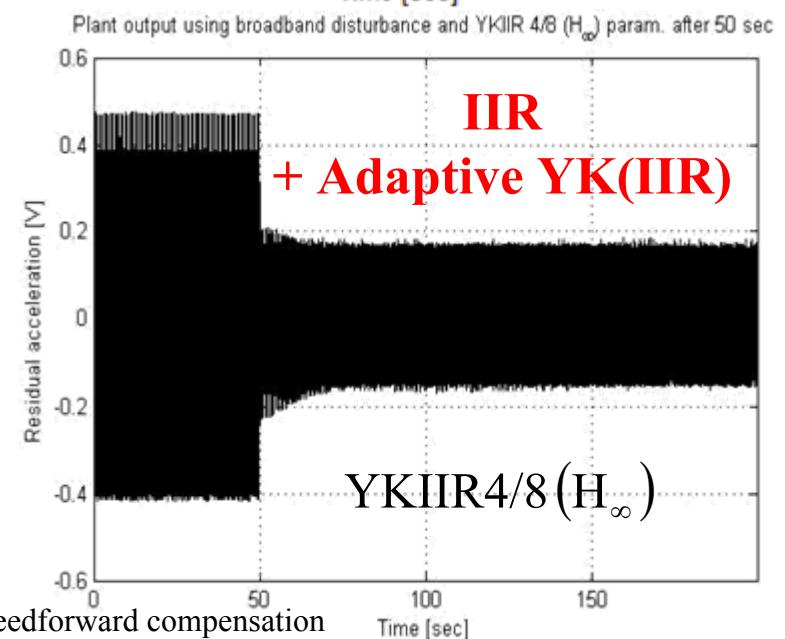
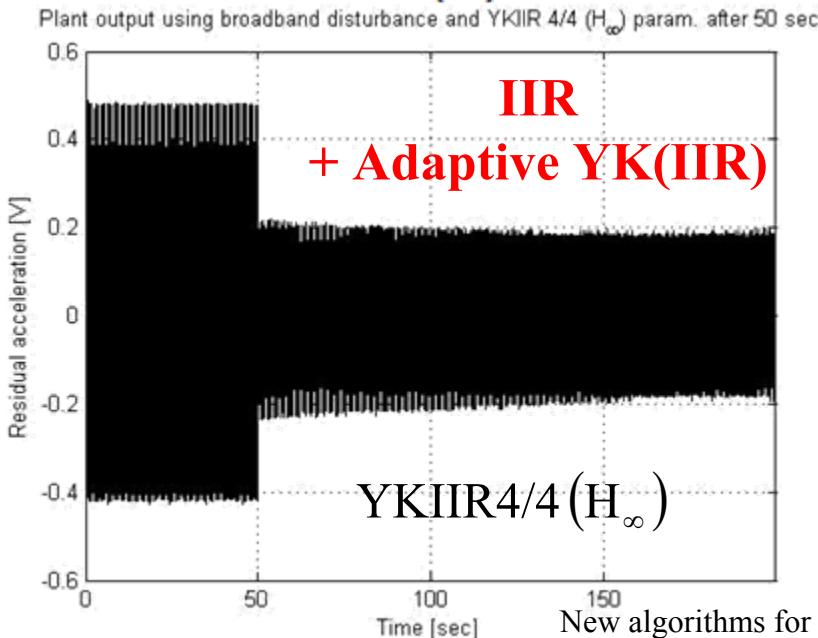
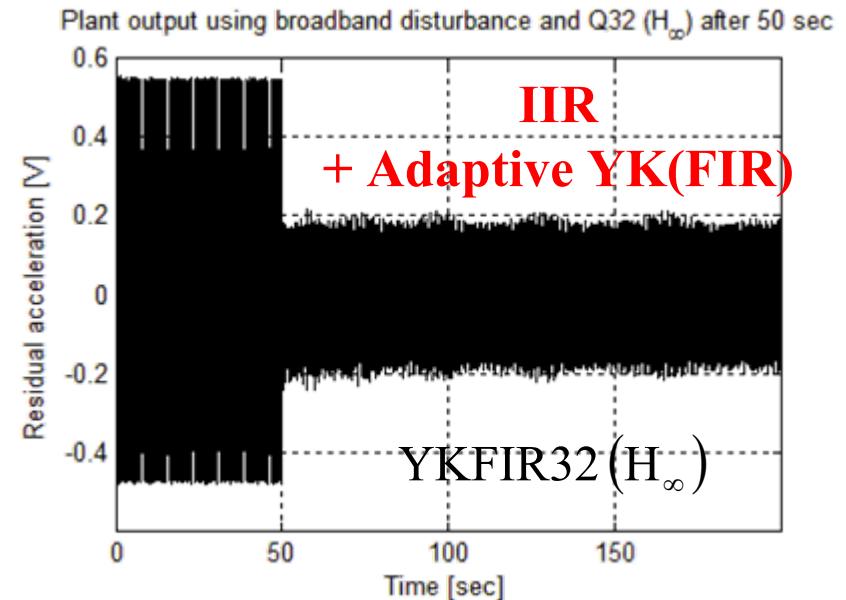
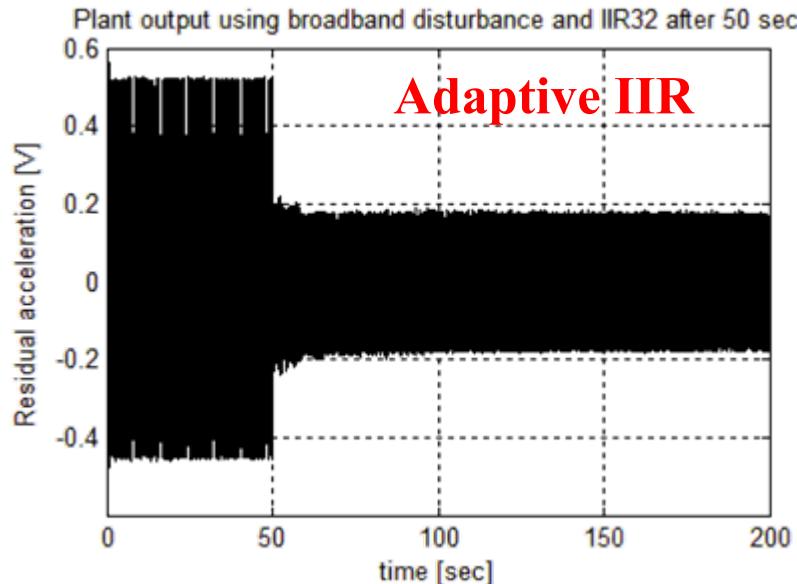
$H_{\infty} = H_{\infty}$  MBD central controller

Model Based Design requires identification of the disturbance and of the primary path

PP = Pole Placement central controller

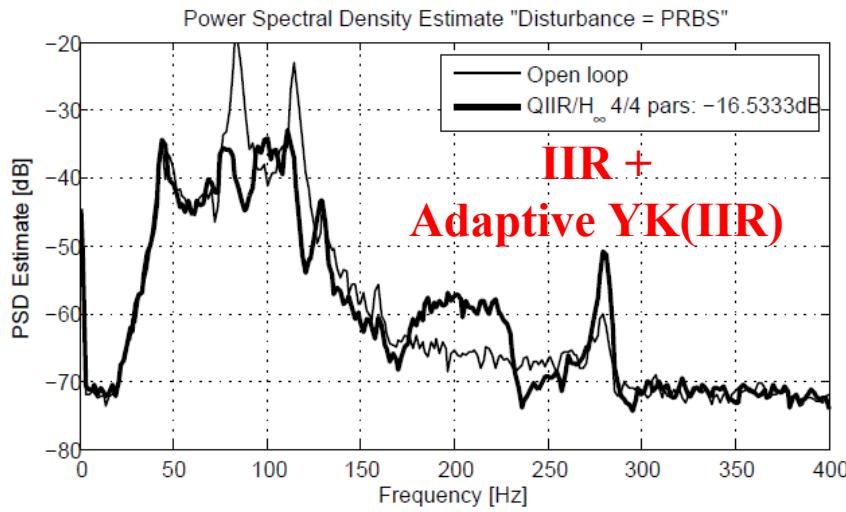
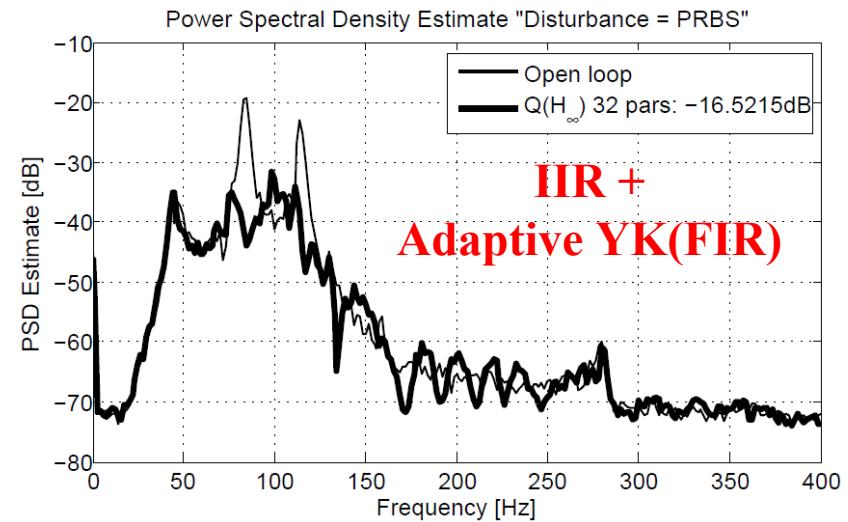
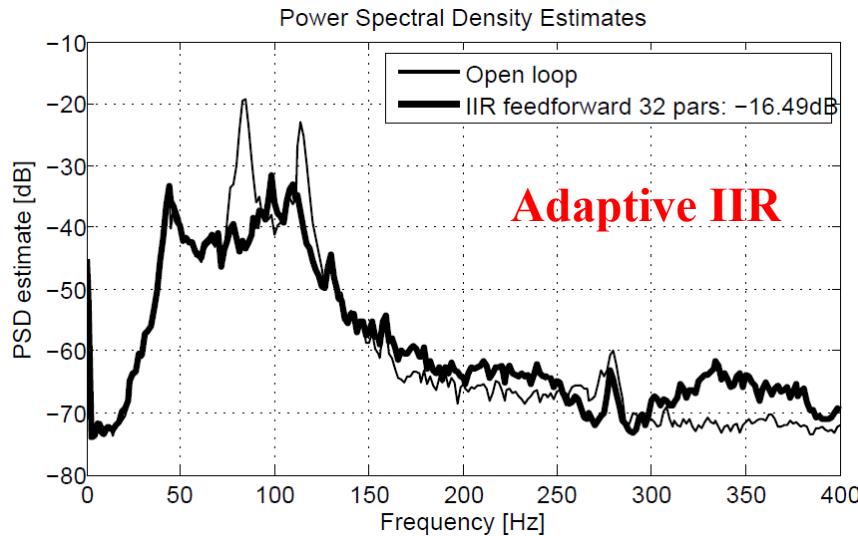
*For IIR+YK\_F(I)IR , performance depends upon the central controller*

# Time domain results (32 parameters)

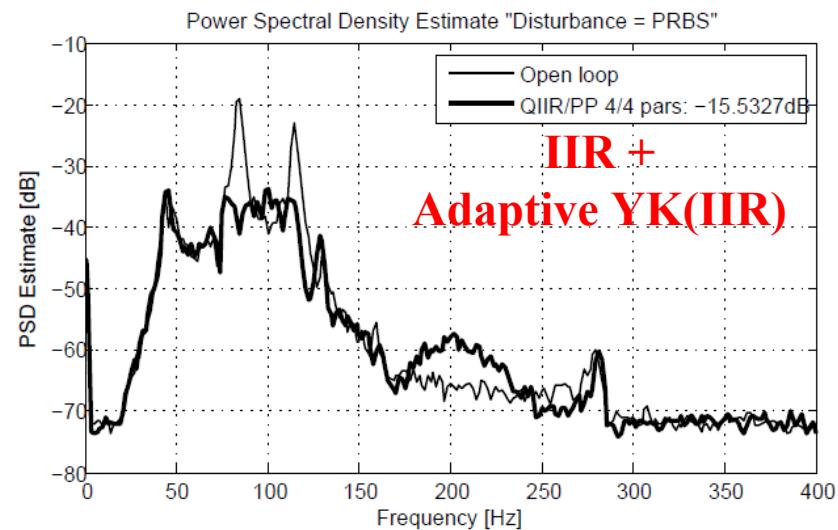


New algorithms for adaptive feedforward compensation

# Frequency domain results (32 parameters)



$YKIIR4/4(H_{\infty})$



$YKIIR4/4(PP)$

## Concluding Remarks

- Adaptive IIR, Fix IIR + adaptive YK\_FIR and Fix IIR + adaptive YK\_FIR have close performances
- The performances of the Fix IIR + adaptive YK\_FIR depends upon the performance of the central controller.
- The performance of the Fix IIR + adaptive YK\_IIR is less dependent upon the performance of the central controller
- Fix IIR + adaptive YK\_FIR allows the easiest implementation of the algorithm (the filter for SPR condition)
- **Fix IIR + adaptive YK\_IIR offers the best ratio performance/nb. of adaptive parameters**

# Adaptive IIR feedforward compensation + feedback controller

*The “logical” approach in active vibration control (AVC):*

Do as much as possible by feedback (limitations due to Bode Integral) and then add feedforward compensation of disturbance

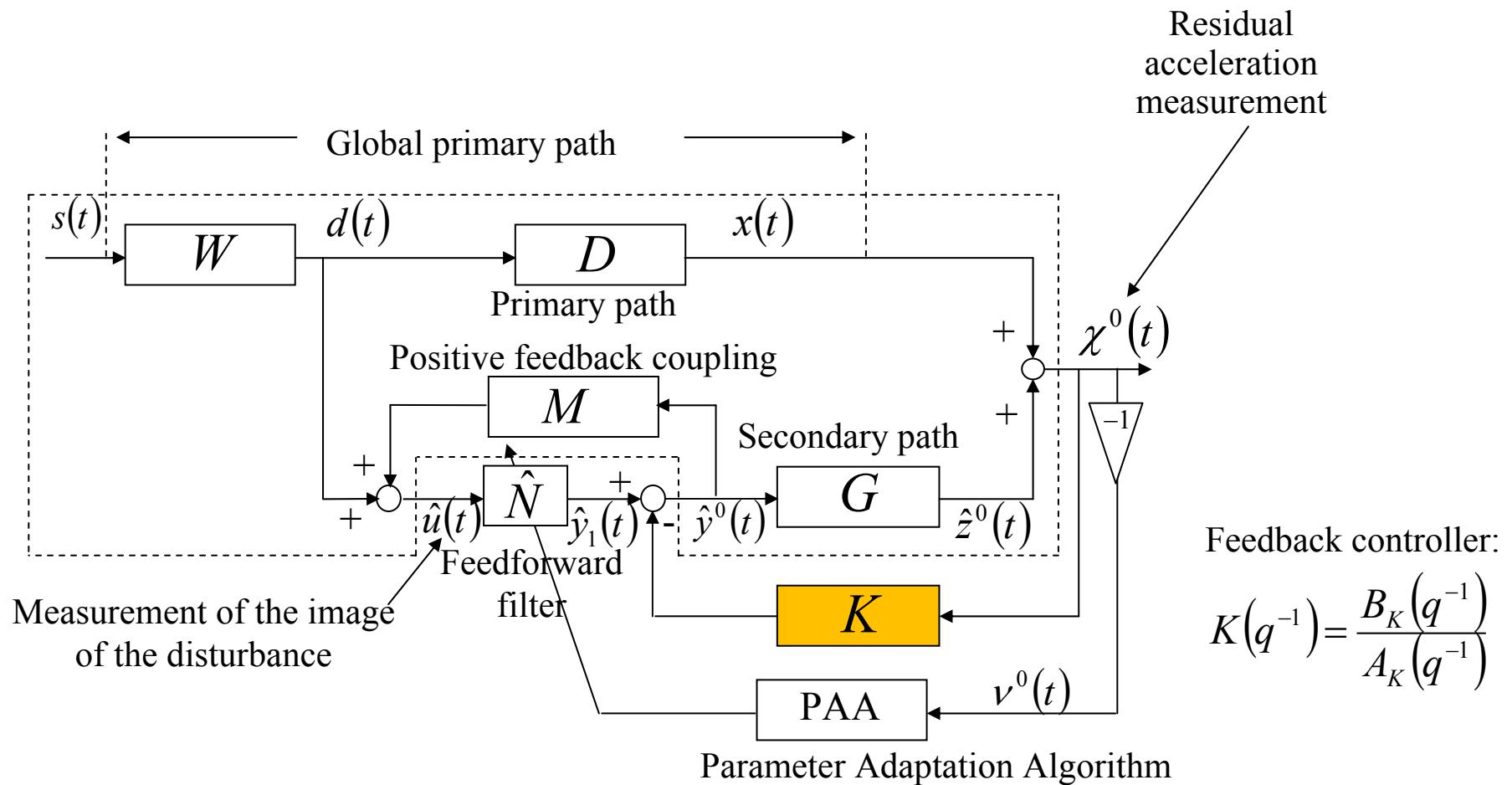
*The “reality”:*

The developments in the field of AVC started by using feedforward compensation and only recently the interest of adding feedback has been recognized  
(Eyzmailzadeh et al 2002 Ray et al 2006, de Callafon 2010 )

No reference available for the analysis of the algorithms for adaptive feedforward compensation:

- in the presence of a feedback controller
- in the joint presence of a feedback controller and of an internal positive feedback coupling

# Adaptive IIR feedforward compensation + feedback controller



Feedback controller:

$$K(q^{-1}) = \frac{B_K(q^{-1})}{A_K(q^{-1})}$$

## Stability and Convergence condition

Same structure of the adaptation algorithm but different filtering

Choice of filter L:

$$(I): L = G; \quad (II): L = \frac{\hat{G}}{1 + \hat{G}K};$$

$$(III): L = \frac{\hat{A}_M \hat{A}_G \hat{A}_K}{\hat{P}_{fb-ff}} \hat{G}$$

Best performance

$$H(z^{-1}) - \frac{\lambda_2}{2} = \text{Strictly Positive Real (S.P.R.)}.$$

const. adapt. gain:  $\lambda_2 = 0$       time varying. adapt. gain:  $\lambda_2 \leq 2$

$$\text{for Alg. III: } H(z^{-1}) = \frac{\hat{P}_{fb-ff} A_M A_G G}{P_{fb-ff} \hat{A}_M \hat{A}_G \hat{G}} = \frac{\hat{P}_{fb-ff} A_M B_G}{P_{fb-ff} \hat{A}_M \hat{B}_G}$$

$$\hat{P}_{fb-ff}(z^{-1}) = \hat{A}_M \hat{S} [\hat{A}_G A_K + \hat{B}_G B_K] - \hat{B}_M \hat{R} A_K \hat{A}_G$$

- $M(A_M, B_M)$  and  $G(A_G, B_G)$  are constant and very good estimations are available
- Implementation of alg. III requires an estimation of S and R (one runs alg. II during an initialization horizon)
- It is possible to continuously update  $\hat{S}$  and  $\hat{R}$  in the filter

## Summary of experimental results

Disturbance : wide band disturbance

### Global attenuation

	Feedback only	Feedforward only ( $H_{inf}$ )	Adaptive Feedforward only	Feedback & Adaptive Feedforward	Feedforward ( $H_{inf}$ ) & Feedback
Attenuation	-14.40 dB	-14.70 dB	-16.23 dB	-20.53 dB	-18.42 dB

Feedback controller:  $nB_K=16$ ,  $nA_K=18$  (Pole placement with sensitivity functions shaping)

Adaptive IIR feedforward compensator: 20 adjustable parameters ( $n_R=10$ ,  $n_S=9$ )

### Attention:

The design of the fixed  $H_{inf}$  feedforward compensator (last column) requires the knowledge of the disturbance characteristics (unknown and time varying in practice) and of the model of the primary path (not required by the adaptive algorithms)

## Concluding Remarks

- Adding feedback to adaptive feedforward compensation improves significantly the performance of AVC
- The stability conditions for the adaptive feedforward algorithms are drastically changed by adding feedback
- The filter used in the adaptive feedforward algorithms depends upon the parameters of the feedback controller

### ***Future work:***

*Combining adaptive feedforward compensation with adaptive feedback regulation*

## Bibliography

- Wang, A. K., & Ren, W. (1999). Convergence analysis of the filtered-u algorithm for active noise control. *Signal Processing*, 73, 255-266
- Fraanje, R., Verhaegen, M., & Doelman, N. (2003). Convergence analysis of the filtered-u lms algorithm for active noise control in case perfect cancellation is not possible. *Signal Processing*, 83, 1239-1254
- Jacobson, C. A, Johnson, C. R, Mc Cormick, D. C, & Sethares, W. A (2001). Stability of active noise control algorithms. *IEEE Signal Processing Letters*, 8(3), 74–76.
- Zeng, J, & de Callafon, R. A (2006). Recursive filter estimation for feedforward noise cancellation with acoustic coupling. *Journal of Sound and Vibration*, 291, 1061–1079.
- Landau, I. D., Alma, M., Airimitoiae, T. B., Adaptive feedforward compensation algorithms for active vibration control with mechanical coupling, *Automatica* 47 (2011) 2185–2196
- Landau, I. D., Airimitoiae, T. B., Alma, M., A Youla-Kucera Parametrized Adaptive Feedforward Compensator for Active Vibration Control, *IFAC World Congress* (2011), Volume # 18 | Part# 1
- Landau, I. D., Airimitoiae, T. B., Alma, M., An IIR Youla-Kucera parametrized adaptive feedforward compensator for active vibration control with mechanical coupling, *CDC* 2011
- Alma, M., Landau, I. D., Martinez, J. J., Airimitoiae, T. B., Hybrid adaptive feedforward-feedback compensation algorithms for active vibration control systems, *CDC* 2011
- Landau, I. D., Lozano, R., M'Saad, M., & Karimi, A. (2011b). *Adaptive control*. (2nd ed.). London: Springer.

Communications and Control Engineering



Ioan Doré Landau  
Rogelio Lozano  
Mohammed M'Saad  
Alireza Karimi

# Adaptive Control

Algorithms, Analysis and Applications

*Second Edition*

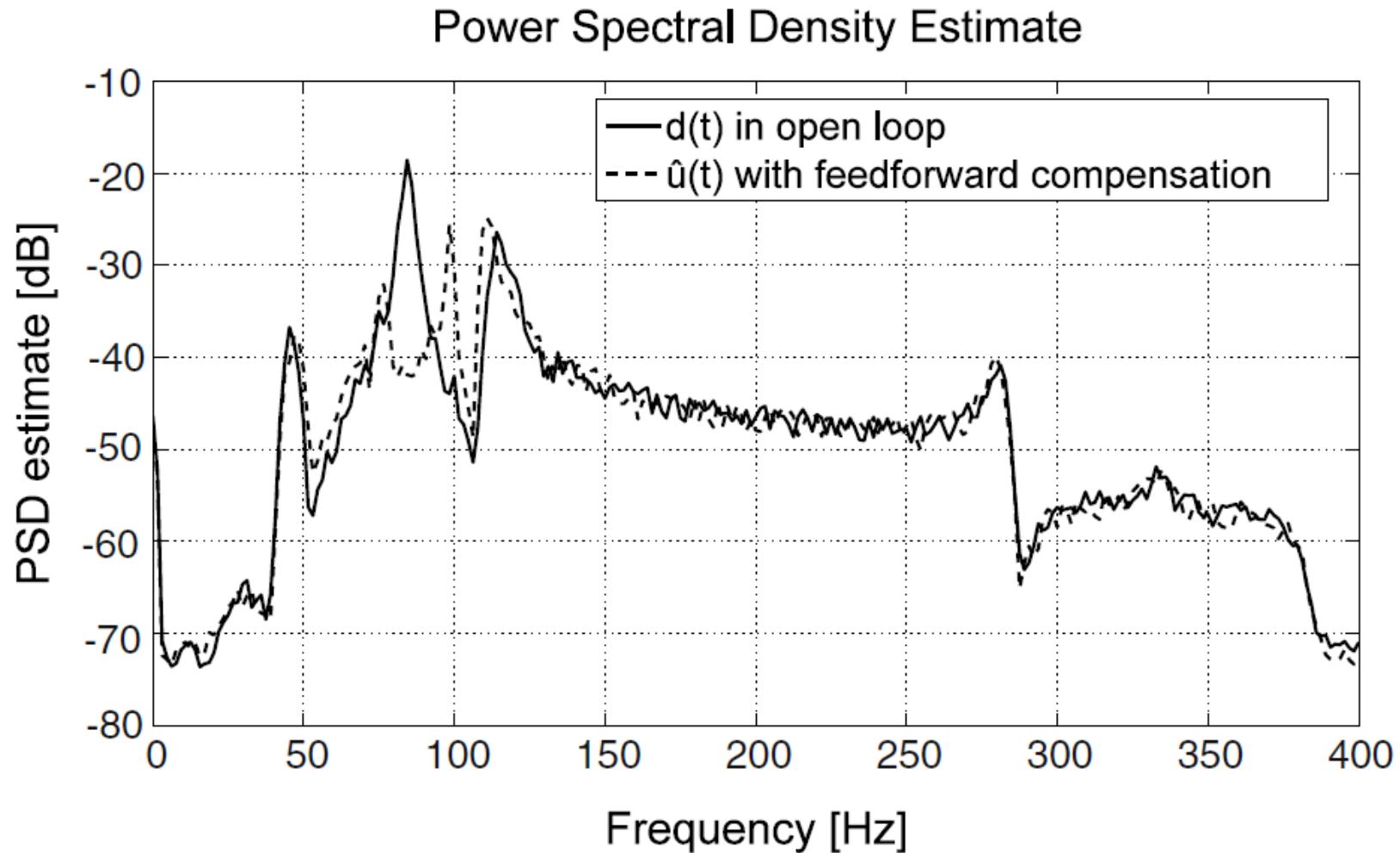
 Springer

<http://www.landau-adaptivecontrol.org/>

New algorithms for adaptive feedforward compensation

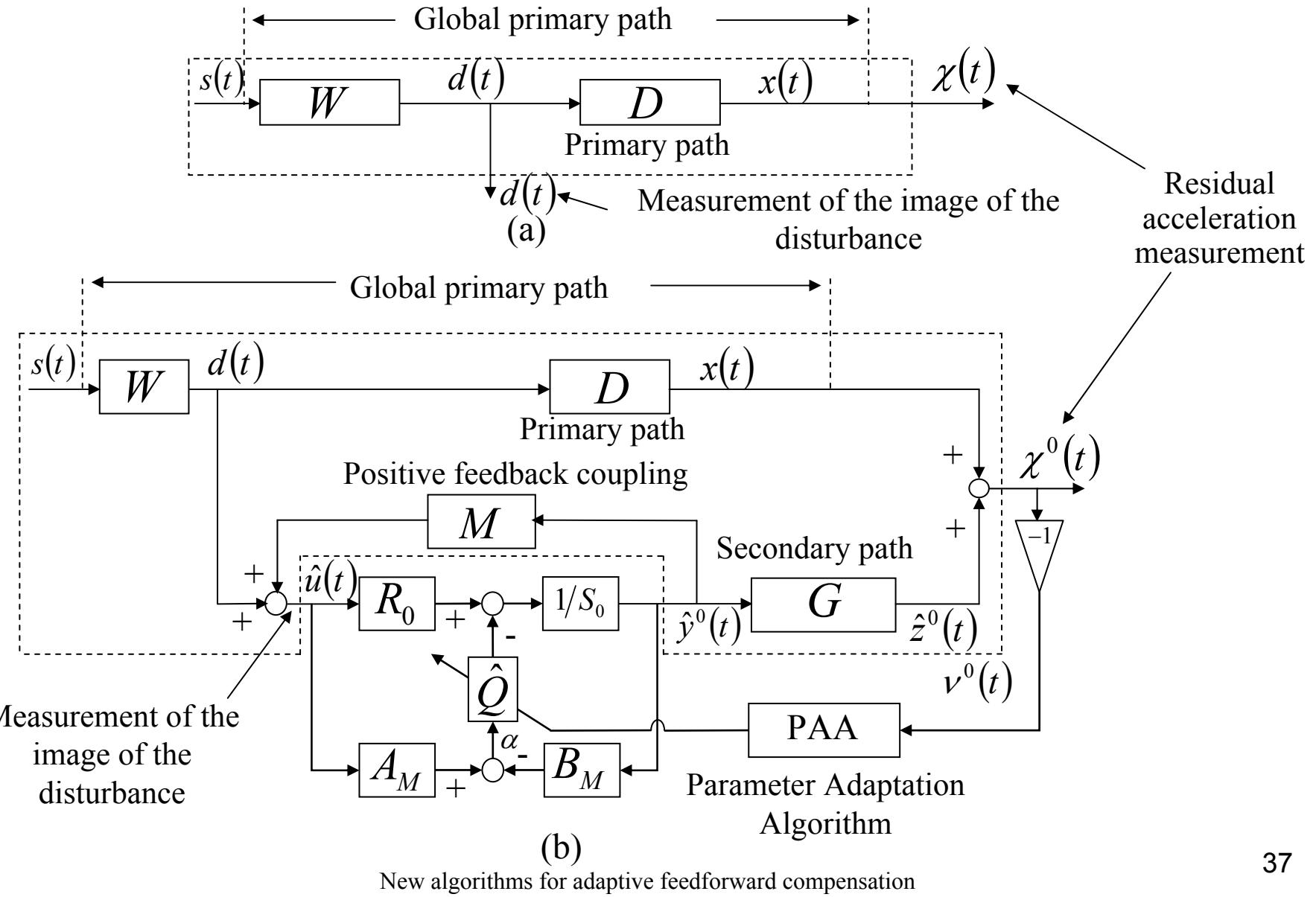
# **Appendix**

## Influence of the physical feedback upon the source $d(t)$



The influence is significant and can not be neglected

# IIR Filter + Adaptive YK (FIR) filter feedforward compensation



## IIR Filter + Adaptive Youla Kucera (FIR) – Basic equations

$$\hat{R}(q^{-1}) = R_0(q^{-1}) - A_M(q^{-1})\hat{Q}(t, q^{-1}); \quad \hat{S}(q^{-1}) = S_0(q^{-1}) - B_M(q^{-1})\hat{Q}(t, q^{-1})$$

Optimal Q filter:  $\hat{Q}(z^{-1}) = q_0 + q_1 z^{-1} + \dots + q_{n_Q} z^{-n_Q}$

Perfect matching  $\rightarrow \frac{G \cdot A_M \cdot (R_0 - A_M Q)}{A_M S_0 - B_M R_0} = -D$

Characteristic polynomial of the internal feedback loop:

$$P(z^{-1}) = A_M(z^{-1})S_0(z^{-1}) - B_M(z^{-1})R_0(z^{-1}) \quad (\text{remains unchanged})$$

Define:  $\theta^T(t) = [\hat{q}_0(t) \dots \hat{q}_{n_Q}(t)]; \quad \varphi^T(t) = [\hat{\alpha}(t+1) \dots \hat{\alpha}(t-n_Q+1)]$

with  $\hat{\alpha}(t+1) = B_M \hat{y}(t+1) - A_M \hat{u}(t+1)$

Compensator output:  $\hat{y}^0(t+1) = -S_0^* \hat{y}(t) + R_0 \hat{u}(t+1) + \hat{\theta}^T(t) \varphi(t)$

$$\hat{y}(t+1) = -S^* \hat{y}(t) + R_0 \hat{u}(t+1) + \hat{\theta}^T(t+1) \varphi(t)$$

New algorithms for adaptive feedforward compensation

## IIR Feedforward+ Feedback - Basic equations (1)

Feedforward compensator :

$$\hat{N}(t, q^{-1}) = \frac{\hat{R}(t, q^{-1})}{\hat{S}(t, q^{-1})}$$

Perfect matching (optimal) →

$$\frac{GN}{1 - NM} = \frac{G \cdot A_M R}{A_M S - B_M R} = -D$$

*The algorithms have been developed under “perfect matching” assumption and analyzed in the context of non perfect matching*

Characteristic polynomial of the internal “positive” feedback loop:

$$P(z^{-1}) = A_M(z^{-1})\hat{S}(z^{-1}) - B_M(z^{-1})\hat{R}(z^{-1})$$

Characteristic polynomial of the feedback loop:

$$P_{cl}(z^{-1}) = A_G(z^{-1})A_K(z^{-1}) + B_G(z^{-1})B_K(z^{-1})$$

Characteristic polynomial of the coupled feedforward-feedback loop:

$$P_{fb-ff}(z^{-1}) = A_M S [A_G A_K + B_G B_K] - B_M R A_K A_G$$

## IIR Feedforward+ Feedback - Basic equations (2)

Define:

$$\hat{\theta}^T(t) = [\hat{s}_1(t), \dots, \hat{s}_{n_S}(t), \hat{r}_0(t), \dots, \hat{r}_{n_R}(t)]$$

$$\varphi^T(t) = [-\hat{y}_1(t), \dots, -\hat{y}_1(t-n_S+1), \hat{u}(t+1), \dots, \hat{u}(t-n_R+1)]$$

Feedforward Compensator output:

$$\hat{y}^\circ(t+1) = \hat{y}(t+1/\hat{\theta}(t)) = \hat{\theta}^T(t)\varphi(t) \quad \hat{y}(t+1) = \hat{y}(t+1/\hat{\theta}(t+1)) = \hat{\theta}^T(t+1)\varphi(t)$$

Measurement (residual acceleration):  $\chi^0(t+1)$

A priori adaptation error:  $v^0(t+1) = v(t+1/\hat{\theta}(t)) = -\chi^0(t+1)$

A posteriori adaptation error:  $v(t+1) = v(t+1/\hat{\theta}(t+1))$

Filtered observation vector:  $\varphi_f(t) = L(q^{-1})\varphi(t)$

$$v(t+1) = \frac{A_M(q^{-1})A_G(q^{-1})A_K(q^{-1})}{P_{fb-ff}(q^{-1})L(q^{-1})} G(q^{-1}) [\theta - \hat{\theta}(t+1)]^T \varphi_f(t)$$

# Parametric adaptation algorithms (PAA)

Measurement (residual acceleration):  $\chi^0(t+1)$

A priori adaptation error:  $v^0(t+1) = -\chi^0(t+1)$

Parametric adaptation algorithm:  $\hat{\theta}(t+1) = \hat{\theta}(t) + F(t)\varphi_f(t)v(t+1)$

A posteriori adaptation error:  $v(t+1) = \frac{v^0(t+1)}{1 + \varphi_f^T(t)F(t)\varphi_f(t)}$

Adaptation gain:  $F(t+1)^{-1} = \lambda_1(t)F(t)^{-1} + \lambda_2(t)\varphi_f(t)\varphi_f(t)^T$

$\lambda_1(t)$  and  $\lambda_2(t)$  define  
the adaptation gain profile  $0 < \lambda_1(t) \leq 1 ; 0 \leq \lambda_2(t) < 2 ; F(0) > 0$

with:  $\varphi_f(t) = L(q^{-1})\varphi(t)$

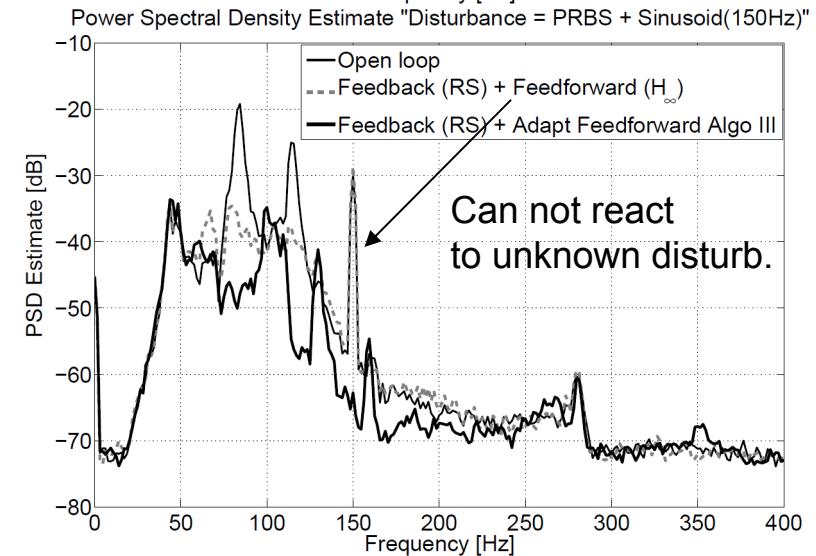
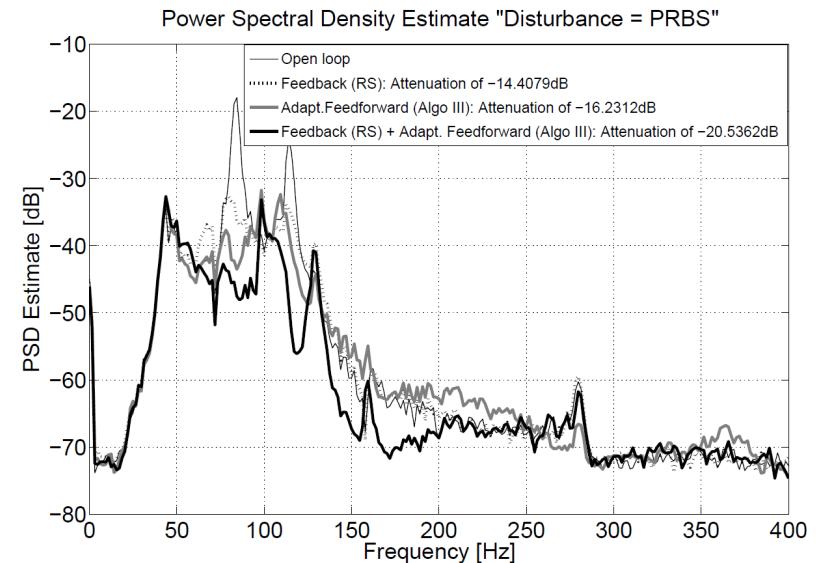
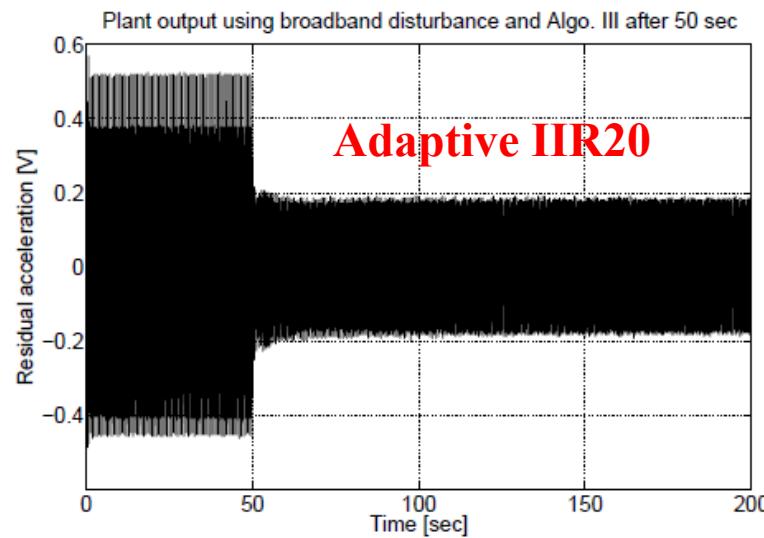
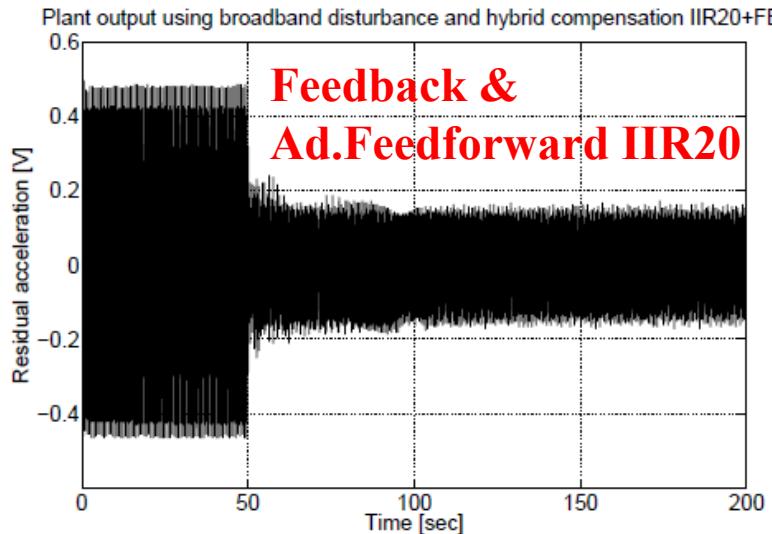
Choice of (I):  $L = G$ ; (II):  $L = \frac{\hat{G}}{1 + \hat{G}K}$ ;  
filter L:

(for stability)

$$(III): L = \frac{\hat{A}_M \hat{A}_G \hat{A}_K}{\hat{P}_{fb-ff}} \hat{G}$$

Best performance

# Time domain and frequency domain experimental results



Wide band disturbance + sinusoid 150 Hz

## An interpretation of the SPR condition

Without filtering and neglecting the non commutativity of time varying operators

$$\nu(t+1) = \frac{A_M(q^{-1})G(q^{-1})}{P(q^{-1})} [\theta - \hat{\theta}(t+1)]^T \varphi(t) = [\theta - \hat{\theta}(t+1)]^T \varphi_f(t)$$

$$\varphi_f(t) = \frac{A_M(q^{-1})G(q^{-1})}{P(q^{-1})} \varphi(t)$$

Lets minimize  $J(t+1) = \nu^2(t+1)$  using gradient technique

$$\frac{1}{2} \frac{\delta J(t+1)}{\delta \hat{\theta}(t+1)} = -\varphi_f(t) \nu(t+1) \quad \hat{\theta}(t+1) = \hat{\theta}(t) + F \varphi_f(t) \nu(t+1); F = \alpha I, \alpha > 0$$

In fact one uses (alg III):

$$\varphi_f(t) = \frac{\hat{A}_M}{\hat{P}} \hat{G} \varphi(t)$$

$$\hat{\theta}(t+1) = \hat{\theta}(t) + F \varphi_f(t) \nu(t+1)$$

Approx. of gradient

SPR condition implies that the angle between the true gradient and the direction of correction is less than  $90^\circ$

## Interpretation of the SPR condition

Consider minimization of  $J(t+1) = v^2(t+1)$  using gradient technique

$$\hat{\theta}(t+1) = \hat{\theta}(t) + F\varphi_f'(t)v(t+1); F = \alpha I, \alpha > 0$$

$$\varphi_f'(t) = \frac{A_M G}{P} \varphi(t)$$

Not available

In fact one uses (alg III):

$$\hat{\theta}(t+1) = \hat{\theta}(t) + F\varphi_f(t)v(t+1)$$

Approx. of gradient

$$\varphi_f(t) = \frac{\hat{A}_M \hat{G}}{\hat{P}} \varphi(t)$$

SPR condition on  $H'$  ( $= H$  in this case) implies that the angle between the true gradient and the direction of correction is less than  $90^\circ$  in all directions

The “phase” of  $H$  is given by:

$$-90^\circ < \angle \frac{A_M(e^{-j\omega})G(e^{-j\omega})}{P(e^{-j\omega})} - \angle \frac{\hat{A}_M(e^{-j\omega})\hat{G}(e^{-j\omega})}{\hat{P}(e^{-j\omega})} < 90^\circ \quad \text{at all frequencies}$$